

Part C State Performance Plan (SPP) for 2005-2010**Overview of the State Performance Plan Development:**

Broad Stakeholder Input. Montana's lead agency, The Developmental Disabilities Program (DPP) of the Department of Health and Human Services engaged in a variety of activities to ensure broad stakeholder input.

- DDP staff was informed of the SPP requirements, including stakeholder input.
- DDP and other Part C technical assistance representatives informed the Family Support Services Advisory Council (FSSAC – Montana's ICC), regional Part C agency staff, Montana's Comprehensive System of Personnel Development (CSPD) including their subcommittee of early childhood professional development, Parents Let's Unite for Kids (PLUK – Montana's Parent Training and Information Center), Montana Council On Developmental Disabilities, and other groups concerned with early intervention services about the new IDEA, SPP requirements for accountability and how they can provide input and/or review drafts of the SPP.
- The DDP and FSSAC formed a Part C Work Group to address the SPP, the new IDEA 2004, and Part C improvements. This group includes representatives of the FSSAC, each regional Part C agencies, Part C Coordinator, DDP monitoring staff and a Part C technical assistant provider/Part C GSEG Director. Most of this group had worked on the last Part C APR. The group reviewed the SPP requirements, previous APRs, other early intervention data for preparation of a draft SPP.
- Dr. Kathy Hebbeler of the ECO Center consulted with the Part C Coordinator and Part C GSEG Director on several occasions and directly worked with the Part C Work Group on components of the SPP draft.
- The Part C Work Group directly provided input and assisted in the development of the draft of the SPP.
- The draft SPP was disseminated to the FSSAC members, discussed at the November 2005 FSSAC meeting where additional input was provided.
- The Part C Coordinator and Part C GSEG Director worked directly with PLUK staff (who receive or received in the past Part C services) to review and provide input for the SPP draft and final SPP.
- The Director of the Montana Council On Developmental Disabilities discussed the Part C SPP and shared with them a Part C SPP draft at their November meeting, and informed the members how they could provide feedback to the Part C Coordinator.
- The regional Part C agencies informed their boards or directors and advisory boards about the draft SPP and how they could provide input for the final SPP.
- The Part C Coordinator and Part C technical assistance provider reviewed all stakeholder input as part of the development of the final SPP.

Dissemination of Montana's Part C SPP to the Public include:

- Announcement of the Part C SPP and how to obtain a copy in Montana's major newspapers.
- The Part C SPP will be on the following websites: DDP/FSSAC; <http://www.dphhs.mt.gov/fssac> PLUK; Montana Council On Developmental Disabilities; regional Part C agency.
- Availability of the Part C SPP has been discussed and will be disseminated to the members of the FSSAC, Special Education Advisory Panel, Montana Council On Developmental Disabilities, Board of Directors for the regional Part C agencies, and CSPD.
- Other advisory councils and planning groups associated with young children and people with disabilities will be made aware of the Part C SPP and how to obtain a copy.
- PLUK will disseminate the Part C SPP through their weekly electronic newsletter, which is also available in print.

- Montana State Library will have copies available to send out to other state libraries throughout Montana. On their website they will have a link, so that it can be accessed through the web. They will also include it in their electronic database catalog.

(The following items are to be completed for each monitoring priority/indicator.)

Monitoring Priority: Early Intervention Services In Natural Environments

Indicator 1: Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner.

(20 USC 1416(a)(3)(A) and 1442)

Measurement:

Percent = # of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner divided by the total # of infants and toddlers with IFSPs times 100.

Account for untimely receipt of services.

Overview of Issue/Description of System or Process:

Brief overview of Part C services in Montana. Part C services are provided through contracts with seven independent nonprofit Part C agencies in five service regions covering all areas of the state. These services are monitored by the Developmental Disabilities Program's (DDP) Part C Coordinator and other DDP state level staff as well as DDP staff in offices in each of the regions (See Indicator 9 for an overview of the DDP supervision and monitoring for Part C services).

Early intervention services have been designed to maximize family competency and minimize family dependency on service agencies. Parents have the opportunity to write their own service measurable result/outcomes and objectives and are the primary decision makers for the Individual Family Service Plan (IFSP). The system recognizes and respects the variety of "family roles" which families may choose to play in developing, implementing and evaluating early intervention services for themselves and their children. The services are designed to meet the diverse individual needs of families. Family education and support services include: (1) Child-focused and family-focused education. The child-focused instruction includes development and monitoring of learning programs that the family may implement with their child in the natural settings of their home and community and within the normal context of their daily routine. Family-focused instruction includes assisting family members to learn skills they must possess to become the primary change agent and an effective advocate for their child. (2) Direct child instruction consists of direct "hands-on" teaching provided to the child by the Family Support Specialist (FSS) or other Part C service provider. (3) Family support includes services and resources to assist the family to develop in areas that are beneficial to the child and the family as a whole. These services may include providing equipment from loan libraries; social and emotional support; general education information; information regarding available community and statewide resources and making referrals to appropriate agencies; and follow-along services. (4) The FSS assumes an active role as service coordinator and family advocate in accessing services and provides instruction to the family to enable them to someday assume the role of support coordinator and, if desired, to access services on their own.

Once a child is determined eligible for Part C Services a FSS is assigned and necessary activities are started to develop the child and family's initial IFSP within 45 calendar days after a referral. The focus of planning for services is the completion of assessments, information gathering, and development of the IFSP with parents and other IFSP team members. Services and supports identified in the IFSP can be provided once the IFSP is completed and parental consent has been obtained. The IFSP

includes all the information required by Part C of the IDEA. Services and supports identified in the IFSP can be initiated with the completion of the IFSP and approval of the parent through written consent. Given that the FSS provides services identified on the IFSP, IFSP services are usually initiated immediately, sometimes right after the IFSP has been approved. The family and FSS must review the child and family's IFSP monthly or more frequently if conditions warrant or if the family request review. The IFSP is a dynamic document and following appropriate procedures can be changed to reflect new priorities concerning services.

Part C Personnel in Montana. Family Support Specialist (FSS) are required to go through a rigorous certification process. The purpose of this is to insure that they are qualified and competent to provide Part C services within their local agencies and State. The FSS must exhibit and maintain understanding of the skills necessary for fourteen early intervention competencies. The competencies insure that FSSs have a broad array of knowledge and skills required to assist children with disabilities and their families. The competencies are based on recommendations made by professional organizations (e.g., DEC of CEC), researchers in the field of early intervention and university faculty involved in professional development in early intervention. The certification system includes two levels. New FSSs must meet minimum certification requirements required for the first level of certification, which cover their first two years of employment. During that time period, new FSSs receive training, coaching and mentoring in providing Part C early intervention services. Further, the new FSS develops a portfolio that documents they possess the skill and knowledge for each of the 14 FSS competencies. The portfolio's are reviewed and evaluated by a state FSS Certification Panel made up of the Part C Coordinator, parents who have or are receiving Part C services, a fully certified FSS and director of one of the regional Part C agencies. After an FSS's portfolio is evaluated, the FSS is interviewed by the Panel concerning any competencies the Panel did not find sufficient supporting documentation in the FSS's portfolio. The Panel then determines if the FSS meets full certification, needs to provide further documentation, or needs to seek further training for a particular competency(s).

The DPP requires that for other early intervention professionals providing Part C services meet Montana's highest established certification or licensing qualifications for their individual occupations. With the advent of Part C services in Montana, the state developed, implemented, and maintains a system of personnel qualifications that requires the use of state-credentialed paraprofessionals and professionals to provide a broad range of services to eligible children and their families. The following personnel must meet State Board of Occupational Licensing's highest requirements for each entry level position in order to provide Part C early intervention services to Part C eligible children and their families under Part C: Audiologist, Speech/Language Pathologist, Physical Therapist, Occupational Therapist, Psychologist/Family Therapist, Registered Professional Nurse, Registered Dietitian/Licensed Nutritionist, Physicians/Pediatrician, Social Worker, Mobility Training Specialist, Vision Specialists (including ophthalmologists and optometrists).

Montana's Comprehensive System of Personnel Development (CSPD): The DPP has participated in Montana's CSPD that was developed under Part B of the IDEA and is continued, while not required by the new IDEA for Part B, by Montana's SEA, the Office of Public Instruction. The CSPD directly relates to pre-service and in-service educational activities for early intervention professional service providers identified in Part C including: FSSs, Special Educators, Speech and Language Pathologists, audiologists, occupational therapists, pediatricians and other physicians, physical therapists, psychologists, social workers, nurses, registered dietitians, vision specialists orientations and mobility specialists, and family therapists. In addition, educational opportunities are made available to other professionals, such as child care providers, Early Head Start staff, and preschool staff who provide direct or related early intervention services. The CSPD assures professional development include:

Technical assistance and support for pre-service and in-service training to be conducted on an interdisciplinary basis when appropriate.

Technical assistance and support for training a variety of personnel needed to meet the requirements of Part C, including public and private providers, primary referral sources, paraprofessionals, families, and persons who will serve as support coordinators.

The early intervention education activities cover:

- Understanding the basic components of early intervention services available in the state, including Part C Services referral processes,
- Meeting the interrelated social or emotional health, developmental, and educational needs of eligible children with research based early intervention practices;
- Assisting families in enhancing the development of their children and participating fully in the development and implementation of IFSPs.

The early intervention education activities may also relate to :

- Implementing innovative strategies and activities for recruitment and retention of early intervention service providers;
- Promoting the preparation of early intervention providers who are fully and appropriately qualified to provide early intervention services under Part C.

Part C General Supervision/Monitoring and Data Reporting: Please refer to Indicator 9 for a description of Montana's Part C general supervision and monitoring of Part C services as well as the plan for reporting the state APR and APR's for each of the seven regional Part C agencies.

Baseline Data for FFY 2004 (2004-2005):

100% ($35/35 = 1 \times 100 = 100\%$)

Discussion of Baseline Data:

Definition of Timely Services: The definition of "timely" of services is within 30 days of the parent (s) signing the IFSP, Part C service(s) schedule to be provided within the first 30 days.

Given the role of FSSs in Montana they not only coordinate services provided by other early intervention service providers, but also directly provide child and family focused services, it is routine that Part C services on the child's and family's IFSP are provided immediately or very soon after the parent(s) sign the IFSP.

Baseline Data Collection: As part of DDP's monitoring of Part C services, each of the seven Part C agencies, covering the whole state, were required to randomly select from all the Part C eligible children with IFSPs, 5 children/families representative of all Part C children/families in their particular region. The files were reviewed for each child/family selected to determine if services were provided according to the definition of timely services, and recording: (1) if the Part C service(s) were provided in a timely manner, and if not, (2) the number of days over the timely definition for each child if services scheduled were not provided within the 30days after the IFSP was signed and (3) the reason the services were not provided (e.g., child was sick, winter weather prevented travel, service provider canceled). The seven regional Part C agencies reported the data to the Part C Coordinator who compiled the data for the SPP. Each of the Part C agencies will keep in their SPP record for this indicator, including the identification of the children/families randomly selected, so that the DDP during the annual monitoring visit will be able to verify the accuracy (reliability and validity) of the data reported.

Other Data Related to Timely Provision of Part C Services:

Other data gathered as part of Montana's general supervision and monitoring processes address factors that promote the timely delivery of early intervention services. See Indicator 9 for a description of those processes. One monitoring activity is annually reviewing each regional Part C agency by DDP staff that includes reviewing a random sample of 5 files for children in Part C services using MONTANA'S COMPREHENSIVE EVALUATION PROCESS FOR FAMILY EDUCATION AND SUPPORT SERVICES TOOL. Results related to Indicator 1 for Fiscal Year (FY) 2004-2005 include:

- Thirty-five out of Thirty-five (100%) files reviewed indicated that services were provided without delay and according to Part C requirements for children/family.
- Thirty-five out of thirty-five (100%) files reviewed showed that each agency provided coordination services for each eligible child and family review.
- Thirty-five out of thirty-five (100%) files documented that resources and support services as identified on the IFSP are provided to each eligible child and family.
- Thirty-five out of thirty-five (100%) files reviewed that IFSPs are in place within 45 days of the referral date.
- Thirty-five out of thirty-five (100%) files reviewed documented contacts with families for the purpose of providing support coordination, direct services, or to provide supervision and consultation to subcontracted personnel.
- Monitoring indicated that for each agency there was a timely assignment of a Family Support Specialist to each child and family.

The following data is from the monitoring of seven regional Part C agencies in FY 2002-2003 and FY 2003-2004. This data indicates a strong trend in providing timely services.

- Thirty-five out of thirty five (100%) files reviewed indicated that services were provided without delay and according to Part C requirements for children/families eligible for Part C Services. (FY 2002-2003, 100%, FY 2003-2004, 100%)
- Thirty-five out of thirty five (100%) files reviewed showed that each agency provided coordination services for each eligible child and family in the file review (FY 2002-2003, 100%, FY 2003-2004, 100%)
- Thirty-five out of thirty-five (100%) files documented that resources and support services as identified on the IFSP are provided to each eligible child/family (FY 2002-2003, 100%, FY 2003-2004, 100%)
- Thirty-five out of thirty-five (100%) files reviewed showed that agency cooperated/coordinated with other community service agencies in meeting child and family needs for the files reviewed (FY 2002-2003, 100%, FY 2003-2004, 100%)
- Thirty-five out of thirty-five (100%) files reviewed documented contacts with families for the purpose of providing support coordination, direct services, or to provide supervision and consultation to subcontracted personnel. (FY 2002-2003, 100%, FY 2003-2004, 100%)

| FFY | Measurable and Rigorous Target |
|----------------------------|--|
| 2005 (2005-2006) | 100 % of infants and toddlers with IFSPs will receive the early intervention services on their IFSPs in a timely manner. |
| 2006 (2006-2007) | 100 % of infants and toddlers with IFSPs will receive the early intervention services on their IFSPs in a timely manner. |
| 2007 (2007-2008) | 100 % of infants and toddlers with IFSPs will receive the early intervention services on their IFSPs in a timely manner. |

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| 2008 (2008-2009) | 100 % of infants and toddlers with IFSPs will receive the early intervention services on their IFSPs in a timely manner. |
| 2009 (2009-2010) | 100 % of infants and toddlers with IFSPs will receive the early intervention services on their IFSPs in a timely manner. |
| 2010 (2010-2011) | 100 % of infants and toddlers with IFSPs will receive the early intervention services on their IFSPs in a timely manner. |

Improvement Activities/Timelines/Resources:

| Activities | Timelines | Resources |
|---|---|--|
| 1. Montana will continue to refine the tool, Montana's Comprehensive Evaluation Process for Family Education and Support Services, to enhance the State's data collection process for this indicator. | July 1, 2005 to June 30, 2006 | FSSAC, Providers Managers, Part C technical assistance and trainers, and the Part C Coordinator. |
| 2. Provide initial and annual training for the Quality Improvement Specialists. | (1) July 1, 2006- June 30, 2007 (2) July 1, 2007- June 30, 2008 (3) July 1, 2008- June 30, 2009 (4) July 1, 2009- June 30, 2010 (5) July 1, 2010- June 30, 2011 | FSSAC, Providers Managers, Part C technical assistance and trainers, and the Part C Coordinator. |

SPP Template – Part C (3)

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| <p>3. DDP will monitor programs for compliance with this indicator. When noncompliance is identified, Quality Improvement Specialists and Part C Coordinator will work with program to determine nature of noncompliance, develop and implement improvement Plan or Corrective Action.</p> | <p>(1) July 1, 2006- June 30, 2007 (2) July 1, 2007- June 30, 2008 (3) July 1, 2008- June 30, 2009 (4) July 1, 2009- June 30, 2010 (5) July 1, 2010- June 30, 2011</p> | <p>FSSAC, Providers Managers, Part C technical assistance and trainers, and the Part C Coordinator.</p> |
| <p>4. Regional Part C agencies will train new FSSs on the Part C requirements and competences related to Indicator 7.</p> | <p>(1) July 1, 2006- June 30, 2007 (2) July 1, 2007- June 30, 2008 (3) July 1, 2008- June 30, 2009 (4) July 1, 2009- June 30, 2010 (5) July 1, 2010- June 30, 2011</p> | <p>Regional Part C agency Managers and supervisors, Part C technical assistance and trainers, and the Part C Coordinator.</p> |
| <p>5. Provide in-service training and resources concerning research-based practices for evaluation and assessment of infants and toddlers.</p> | <p>January 1, 2006 to December 31, 2007</p> | <p>Regional Part C agency Managers and supervisors, Part C technical assistance and trainers, and the Part C Coordinator.</p> |
| <p>6. Biannually assess personnel development needs concerning early intervention practices associated with Indicator 7.</p> | <p>January 1, 2007 to December 31, 2009</p> | <p>Regional Part C agency Managers and supervisors, Part C technical assistance and trainers, and the Part C Coordinator.</p> |

Part C State Performance Plan (SPP) for 2005-2010**Overview of the State Performance Plan Development:**

See Indicator 1 for a description of the State Performance Plan development.

(The following items are to be completed for each monitoring priority/indicator.)

Monitoring Priority: Early Intervention Services In Natural Environments

Indicator 2: Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or programs for typically developing children.

Measurement:

Percent = # of infants and toddlers with IFSPs who primarily receive early intervention services in the home or programs for typically developing children divided by the total # of infants and toddlers with IFSPs times 100.

Overview of Issue/Description of System or Process:

All seven regional Part C agencies focus on providing early intervention services in natural environments. The majority of infants and toddlers receive services in the home. Montana's Part C services and the other Developmental Disability Program's children and family services are home-based. As noted in Indicator 1 Family Support Specialist (FSS) not only provide service coordination, but also provide direct child and family focused early intervention services. Further, Montana strongly supports childcare settings that are inclusive of all children. The Child and Family Services Division of the Department of Health and Human Services has worked closely with Part C of DDP and The University of Montana Rural Institute's Child Care Plus (a program that has had a series of demonstration and training projects for child care providers to serve all children funded by OSEP) to support child care providers with training, technical assistance and subsidies to provide child care services to young children with developmental delays and disabilities. Further, Montana was selected by OSEP and the U.S. Department of Health and Human Services to participate in a national effort to promote full inclusion of young children with delays and disabilities being coordinated by NECTAC. In addition to services provided in the home and community settings, a number of the agencies offer playgroups or parent training groups in their center, to offer families the opportunity to network with other parents and for their children to interact with other peers with and without disabilities.

The DDP monitors the seven regional Part C agencies annually. This is part of the Quality Assurance Process, which is described in more detail in Indicator #9. This process looks at IFSPs to see where services are being provided. When early intervention services are not provided in natural environments, the IFSP and files must include an appropriate justification as to why they are not.

The December 1, 2004 618 data were compiled by the seven regional Part C agencies data managers according to DDP's instructions. The information is then submitted to the DDP's Part C Coordinator to analyze all of the data statewide and for each agency. The DDP reviews the compiled data from the seven regional Part C agencies and will do follow up on information that may need some clarification.

The data and information collected through these processes are reported to each regional Part C agency, the DDP regional office administrators, Part C coordinator, DDP state level administrators, and the Family Support Service Advisory Council (ICC). The Part C Coordinator and other DDP staff analyze the data and report findings to the above groups for purposes of program monitoring and improvement planning.

Baseline Data for FFY 2004 (2004-2005):

December 1, 2004, Child Count: 93% of infants and toddlers with IFSPs primarily receives early intervention services in the home or programs for typically developing children.

- Home: 89%
- Programs for typically developing children: 4%

December 1, 2004 Child Count Data Table

| FY 2003-2004 | Numbers Served | Percent Served |
|--|----------------|----------------|
| Total number of children in December 1 child Count | 677 | |
| Home | 600 | 89% |
| Program designed for typically developing children | 24 | 4% |
| Program designed for children with developmental delay | 18 | 3% |
| Hospital (inpatient) | 4 | 1% |
| Residential | 0 | 0% |
| Service Provider | 27 | 4% |
| Other Settings | 4 | 1% |

Primary Settings by age:

- 160 infants, zero to one (94%), receive services in the home or program designed for typically developing children.
- 204 toddlers ages one to two (93%), receive services in the home or programs designed for typically developing children.

260 toddlers two to three (91%), receive services in the home or programs designed for typically developing children.

Discussion of Baseline Data:

The child count data concerning services being primarily provided in natural environment is consistent with data from previous years. As noted, Montana strongly supports the delivery of Part C and other services for children with delays and disabilities in natural environments. Through Part C/DDP monitoring, IFSPs and files are reviewed to ensure that services are provided in natural environment and when not, appropriate explained in the IFSP and the child's file. If it appears to be a systemic issue with an agency as identified through the 618 data, monitoring or other means, the Part C Coordinator will address the issue with the regional Part C agency. See the below table for trend data.

Child Count Data from 1999 – 2004 Table

| | 1999 | 2000 | 2001 | 2002 | 2003 | 2004 |
|---|-----------|-----------|-----------|-----------|-----------|-----------|
| Total number of children in December 1 Child Count | 580 | 574 | 600 | 574 | 628 | 677 |
| Program designed for typically developing children | 13 (2%) | 30 (5%) | 17 (3%) | 20 (3%) | 16 (3%) | 24 (4%) |
| Home | 525 (91%) | 520 (91%) | 551 (92%) | 527 (92%) | 559 (89%) | 600 (89%) |
| Percent of infants and Toddlers with IFSPs who primarily receive early intervention services in the home or programs for typically developing children | 93% | 96% | 95% | 95% | 92% | 93% |
| Program designed for children with developmental delay | 8 (1.4%) | 2 (0%) | 0 (0%) | 1 (0%) | 22 (4%) | 18 (3%) |
| Hospital (inpatient) | 3 (1%) | 4 (1%) | 0 (0%) | 5 (1%) | 0 (0%) | 4 (1%) |
| Residential | 0 (0%) | 0 (0%) | 0 (0%) | 0 (0%) | 0 (0%) | 0 (0%) |
| Service Provider | 31 (5%) | 15 (3%) | 31 (5%) | 20 (3%) | 29 (5%) | 27 (4%) |
| Other Settings | 0 (0%) | 3 (1%) | 1 (0%) | 1 (0%) | 2 (0%) | 4 (1%) |

The data was collected from the Federal Child Count on Settings for the FY 1999, 2000, 2001, 2002, 2003, and 2004 which indicates that 92-96% of Part C services are provided either in the family's home or programs designed for typically developing children. This is a much higher rate than the national average for this data. Services provided primarily in the home setting have increased 1% from the FY 2003. Of the 8% in services, 4% of the 8% of children served in environments other than typically developing children are in the community and location where children with disabilities would receive services. The majority of these children are involved in an regional Part C agency that is part of a school district that conducts a special education preschool readiness program for toddlers. The DDP will work with the agency to make the program inclusive of all children and not just toddlers with delays or disabilities. However, the parents are supportive of the program and made the choice to enroll their toddlers in the program as noted on their IFSPs and in their files. The other situations where children are not served in their natural environments occurred because the specific services were not available in natural settings in their communities.

| FFY | Measurable and Rigorous Target |
|-----------------------------|--|
| 2005 (2005-2006) | 93% of infants and toddlers with IFSPs will primarily receive early intervention services in the home or programs for typically developing children. |
| 2006 (2006-2007) | 93% of infants and toddlers with IFSPs will primarily receive early intervention services in the home or programs for typically developing children. |
| 2007 (2007-2008) | 94% of infants and toddlers with IFSPs will primarily receives early intervention services in the home or programs for typically developing children |

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| 2008 (2008-2009) | 94% of infants and toddlers with IFSPs will primarily receives early intervention services in the home or programs for typically developing children |
| 2009 (2009-2010) | 95% of infants and toddlers with IFSPs will primarily receives early intervention services in the home or programs for typically developing children |
| 2010 (2010-2011) | 95% of infants and toddlers with IFSPs will primarily receives early intervention services in the home or programs for typically developing children |

Improvement Activities/Timelines/Resources:

| Activity | Timeline | Resources |
|---|---|--|
| 1. Base on each regional Part C agencies 618 data and monitoring data, work with the regional Part C agencies and the Quality Improvement Specialist to continue to promote utilization of appropriate community settings and programs for typically developing children in which services are delivered. | (1)July 1, 2006- June 30,2007 (2)July 1, 2007- June 30,2008 (3)July 1, 2008- June 30,2009 (4)July 1, 2009- June 30,2010 (5)July 1, 2010- June 30,2011 | Seven regional Part C agencies, Part C Coordinator, Quality Improvement Specialist. |
| 2. Collaborate with the Child and Family Services Division for providing training and technical assistance for the support of early childhood childcare settings that serve all children with appropriate high quality services. | (1)July 1, 2006- June 30,2007 (2)July 1, 2007- June 30,2008 (3)July 1, 2008- June 30,2009 (4)July 1, 2009- June 30,2010 (5)July 1, 2010- June 30,2011 | Seven regional Part C agencies, Part C Coordinator, Child and Family Service Division, and Child Care Plus, Child Care Regional Resource Centers and child care providers. |
| 3. Analyze the outcomes of Activity 2 above and plan with the Child and Family Services Division for providing training and technical assistance for the support of early childhood child care settings that serve all children with appropriate high quality services. | (1)July 1, 2006- June 30,2007 (2)July 1, 2007- June 30,2008 (3)July 1, 2008- June 30,2009 (4)July 1, 2009- June 30,2010 (5)July 1, 2010- June 30,2011 | Seven regional Part C agencies, Part C Coordinator, Child and Family Service Division, and Child Care Plus, Child Care Regional Resource Centers and child care providers. |
| 4. Based on Activity 3 above Collaborate with the Child and Family Services Division for | (1)July 1, 2006- June 30,2007 | Seven regional Part C agencies, Part C Coordinator, Child and Family Service |

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| providing training and technical assistance for the support of early childhood child care settings that serve all children with appropriate high quality services. | <p>(2) July 1, 2007- June 30, 2008</p> <p>(3) July 1, 2008- June 30, 2009</p> <p>(4) July 1, 2009- June 30, 2010</p> <p>(5) July 1, 2010- June 30, 2011</p> | Division, and Child Care Plus, Child Care Regional Resource Centers and child care providers. |
| 5. Annually review 618 data and monitoring data for state and each regional Part C agency and provide focused training and technical assistance for regional Part C agency staff/Family Support Specialists, Quality Improvement Specialist on 618 settings definitions and data collection requirements, monitoring requirements for Indicator 2. | <p>(1) July 1, 2006- June 30, 2007</p> <p>(2) July 1, 2007- June 30, 2008</p> <p>(3) July 1, 2008- June 30, 2009</p> <p>(4) July 1, 2009- June 30, 2010</p> <p>(5) July 1, 2010- June 30, 2011</p> | Seven regional Part C agencies, FSSAC, and Part C coordinator. |

Part C State Performance Plan (SPP) for 2005-2010**Overview of the State Performance Plan Development:**

See Indicator 1 for a description of the State Performance Plan development.

(The following items are to be completed for each monitoring priority/indicator.)

Monitoring Priority: Early Intervention Services In Natural Environments

Indicator 3: Percent of infants and toddlers with IFSPs who demonstrate improved:

- C. Positive social-emotional skills (including social relationships);
- C. Acquisition and use of knowledge and skills (including early language/ communication); and
- C. Use of appropriate behaviors to meet their needs.

(20 USC 1416(a)(3)(A) and 1442)

Measurement:

A. Positive social-emotional skills (including social relationships):

- a. Percent of infants and toddlers who reach or maintain functioning at a level comparable to same-aged peers = # of infants and toddlers who reach or maintain functioning at a level comparable to same-aged peers divided by # of infants and toddlers with IFSPs assessed times 100.
- b. Percent of infants and toddlers who improve functioning = # of infants and toddlers who improved functioning divided by # of infants and toddlers with IFSPs assessed times 100.
- c. Percent of infants and toddlers who did not improve functioning = # of infants and toddlers who did not improve functioning divided by # of infants and toddlers with IFSPs assessed times 100.

If children meet the criteria for a, report them in a. Do not include children reported in a in b or c. If a + b + c does not sum to 100%, explain the difference.

B. Acquisition and use of knowledge and skills (including early language/communication):

- a. Percent of infants and toddlers who reach or maintain functioning at a level comparable to same-aged peers = # of infants and toddlers who reach or maintain functioning at a level comparable to same-aged peers divided by # of infants and toddlers with IFSPs assessed times 100.
- b. Percent of infants and toddlers who improved functioning = # of infants and toddlers who improved functioning divided by # of infants and toddlers with IFSPs assessed times 100.
- c. Percent of infants and toddlers who did not improve functioning = # of infants and toddlers who did not improve functioning divided by # of infants and toddlers with IFSPs assessed times 100.

If children meet the criteria for a, report them in a. Do not include children reported in a in b or c. If a + b + c does not sum to 100%, explain the difference.

C. Use of appropriate behaviors to meet their needs:

- a. Percent of infants and toddlers who reach or maintain functioning at a level comparable to same-aged peers = # of infants and toddlers who reach or maintain functioning at a level comparable to same-aged peers divided by # of infants and toddlers with IFSPs assessed times 100.
- b. Percent of infants and toddlers who improved functioning = # of infants and toddlers who improved functioning divided by # of infants and toddlers with IFSPs assessed times 100.

- c. Percent of infants and toddlers who did not improve functioning = # of infants and toddlers who did not improve functioning divided by # of infants and toddlers with IFSPs assessed times 100.

If children meet the criteria for a, report them in a. Do not include children reported in a in b or c. If a + b + c does not sum to 100%, explain the difference.

Overview of Issue/Description of System or Process:

The DDP and FSSAC formed a Part C Work Group to address the SPP, the new IDEA 2004, and Part C improvements. This group includes representatives of the FSSAC, each regional Part C agencies, Part C Coordinator, DDP monitoring staff and a Part C technical assistant provider/Part C GSEG Director. Most of this group had worked on the last Part C APR. In addition, Dr. Kathy Hebbeler from the ECO Center directly assisted the work group in making decisions about how to approach data collection for Indicator 3. The following components will be part of the Indicator 3 data collection system:

- All infants and toddlers who are at least six months of age will be included in the data collection. Exceptions include: (1) toddlers who enter Part C services within six months of turning three years old, and (2) children (any age) who were in the program less than six months before they moved or exited Part C services.
- Data collection will occur during the development of the initial IFSP, annually with the development of subsequent IFSPs, and at or near exit or transition.
- The data sources will include data from evaluations and assessments and the judgment of IFSP team members, including parents. Parents will be able to be involved in whatever ways they feel most comfortable providing their observations of their child's progress/development. Thus, parents may be directly involved in deciding for each sub-indicator if their child meets the criteria for a, b or c, or parents may choose to provide to the Family Support Specialist (FSS) or whole IFSP team their observations concerning their child's progress/development and the FSS will use that information in the measurement process. For infants and toddlers who unexpectedly exit Part C services, the FSS will collect the most recent data available for the child to make the decisions concerning the early childhood sub-indicators.
- Evaluations and assessments will use both norm-referenced and curriculum/criterion-referenced instruments. Based on a recent survey of FSSs concerning instruments they used to develop the current IFSP for each Part C child they serve and the most recent research-based early intervention practices for evaluations and assessments, a list of instruments will be finalized for measurement of Indicator 3. In addition, the final list of instruments will be developed with recommendations from the ECO Center.
- The Part C Work Group developed the initial list of approved instruments with the assistance of Dr. Hebbeler. The approved instruments include: Early Learning Accomplishment Profile (ELAP); Developmental Profile II, Alpern-Boll (DPII); Hawaii Early Learning Profile (HELP); Battelle Developmental Inventory (Battelle); Developmental Assessment of young Children (DAY-C); Infant Toddler Developmental Assessment (IDA); Carolina Curriculum for Infants and Toddlers with Special Needs (Carolina); Vineland Adaptive Behavior Scales (Vineland); and Portage Growing Birth to Three (Potage 0-3). In addition, The Ounce of Prevention Scale (OUNCE) is also being considered as training on and dissemination of the instrument continues in Montana.
- Montana is adopting the ECO Center's Child Outcome Summary Form, their procedures for the form, and other ECO resources designed for this process (e.g., instrument crosswalks). As noted above, input will be based on IFSP team member's (including parents', FSS and other team members) observations and assessment data.
- Montana's Part C Work Group is developing all the specific measurement activities and procedures for reporting by each FSS and each regional Part C agency. Each FSS will be responsible for completing the measurement activities and entering the data into the appropriate form. Following the uniform procedures, each agency will complete the Indicator 3 Part C Agency Reporting Form and submit the form to the Part C Coordinator and their

regional DDP staff member responsible for monitoring their agency. The part C Coordinator will compile the data for the APR.

- In addition, regional DDP staff responsible for each regional Part C agency will monitor these steps. The steps will be outlined in the DDP monitoring tool and procedures (see Indicator 9 for an overview of DDP's monitoring system for the regional Part C agencies). DDP staff will be provided training and technical assistance in monitoring for this Indicator.
- The DDP, Montana Part C GSEG Project and ECO Center will conduct training on the data collection system for regional Part C agency staff and DDP monitoring staff. Further, training will continue on research-based early intervention practices for evaluation and assessment, specifically focusing on the instruments for Indicator 3 data collection as well as for development of IFSP child-focused outcomes and programs to be carried out in natural environments and during infants' and toddlers' normal routines and activities.
- The data concerning Indicator 3 will also be used to create early intervention practice improvements at the levels of individual FSSs, regional Part C agency, and DDP/FSSAC. In conjunction with Montana's Part C GSEG, the DDP will develop guidelines for using these data to identify individual FSS's and agency training needs concerning early intervention practices, and identify areas where system improvements may be needed (e.g., FSS orientation, data collection procedures) at a regional Part C agency and/or the DDP state level.
- Indicator 3 data collection is scheduled to begin April 1, 2006 through September 30, 2006 for the entry data to be reported for Indicator 3 on the February 1, 2007 APR.

All of the steps of the Indicator 3 data collection system will be evaluated and forms and procedures will be revised accordingly, and training and technical assistance will be provided.

Baseline Data for FFY 2004 (2004-2005):

Entry data to be reported on the 2-1-07 Annual Performance Report.

Discussion of Baseline Data:

Entry data to be reported on the 2-1-07 Annual Performance Report.

| FFY | Measurable and Rigorous Target |
|----------------------------|---|
| 2005 (2005-2006) | Targets to be set on the 2-1-08 Annual Performance Report for each of the three sub-indicators. |
| 2006 (2006-2007) | |
| 2007 (2007-2008) | |
| 2008 (2008-2009) | |

SPP Template – Part C (3)

Montana
State

| | |
|----------------------------|--|
| 2009 (2009-2010) | |
| 2010 (2010-2011) | |

Improvement Activities/Timelines/Resources:

Improvement activities, timelines and resources to be listed on the 2-1-08 Annual Performance Report.

Part C State Performance Plan (SPP) for 2005-2010**Overview of the State Performance Plan Development:**

See Indicator 1 for a description of the State Performance Plan development.

(The following items are to be completed for each monitoring priority/indicator.)

Monitoring Priority: Early Intervention Services In Natural Environments

Indicator 4: Percent of families participating in Part C who report that early intervention services have helped the family:

- A. Know their rights;
- B. Effectively communicate their children's needs; and
- C. Help their children develop and learn.

(20 USC 1416(a)(3)(A) and 1442)

Measurement:

- A. Percent = # of respondent families participating in Part C who report that early intervention services have helped the family know their rights divided by the # of respondent families participating in Part C times 100.
- B. Percent = # of respondent families participating in Part C who report that early intervention services have helped the family effectively communicate their children's needs divided by the # of respondent families participating in Part C times 100.
- C. Percent = # of respondent families participating in Part C who report that early intervention services have helped the family help their children develop and learn divided by the # of respondent families participating in Part C times 100.

Overview of Issue/Description of System or Process:

The DDP and FSSAC formed a Part C Work Group to address the SPP, the new IDEA 2004, and Part C improvements. This group includes representatives of the FSSAC, each regional Part C agencies, Part C Coordinator, DDP monitoring staff and a Part C technical assistant provider/Part C GSEG Director. Most of this group had worked on the last Part C APR. In addition, Dr. Kathy Hebbeler from the ECO Center directly assisted the work group in making decisions about how to approach data collection for Indicator 3. The following components will be part of the Indicator 4 data collection system:

- All families whose children have an IFSP and are participating in Part C services will be surveyed about the three sub-indicators for Indicator 4.
- Data collection will occur during through each regional Part C agency's parent survey process on an annual basis and initially according to their own timeline.
- Parents will provide the data concerning Indicator 4 by completing identical Indicator 4 sub-indicator items on each regional Part C agencies parent survey. This can be done through a mailed or directly delivered survey or through a telephone or in-person interview. The parents or interviewer will submit the survey data anonymously. If parents want to submit the data with their name, they may, it is their choice.
- Montana is adopting the ECO Center's Family Outcomes Survey items for Indicator 4.
- The Indicator 4 data will be collected by each regional Part C agency. Following uniform procedures, each agency will complete the Indicator 4 Part C Agency Reporting Form and

submit the form to the Part C Coordinator and their regional DDP staff member responsible for monitoring their agency. The part C Coordinator will compile the data for the APR.

- Montana's Part C Work Group is developing all the specific measurement activities and procedures for reporting by each family and each regional Part C agency. Further, this group will address procedures to ensure a good response survey response from parents involved in the survey.
- In addition, regional DDP staff responsible for each regional Part C agency will monitor these steps. The steps will be outlined in the DDP monitoring tool and procedures (see Indicator 9 for an overview of DDP's monitoring system for the regional Part C agencies). DDP staff will be provided training and technical assistance in monitoring for this Indicator.
- The DDP, Montana Part C GSEG Project and ECO Center will conduct training on the data collection system for regional Part C agency staff and DDP monitoring staff.
- Further, training will continue on research-based early intervention practices
- The data concerning Indicator 4 will also be used to create early intervention practice improvements at the levels of individual FSSs, regional Part C agency, and DDP/FSSAC. In conjunction with Montana's Part C GSEG, the DDP will develop guidelines for using these data to identify FSS and agency training needs concerning early intervention practices, and identify areas where system improvements may be needed (e.g., FSS orientation, providing family-centered services) at a regional Part C agency and/or the DDP state level.
- Indicator 4 data collection is scheduled to begin January 30, 2006 through September 30, 2006 for the baseline data to be reported for Indicator 4 on the February 1, 2007 APR.

All of the steps of the Indicator 4 data collection system will be evaluated and forms and procedures will be revised accordingly, and training and technical assistance will be provided. Further, the FSSAC and Part C Work Group will consider utilizing additional statewide survey items for future parent surveys..

Baseline Data for FFY 2004 (2004-2005):

Baseline data to be reported on the 2-1-07 Annual Performance Report.

Discussion of Baseline Data:

Baseline data to be reported on the 2-1-07 Annual Performance Report.

| FFY | Measurable and Rigorous Target |
|----------------------------|---|
| 2005 (2005-2006) | Targets to be set on the 2-1-07 Annual Performance Report for each of the three sub-indicators. |
| 2006 (2006-2007) | |
| 2007 (2007-2008) | |
| 2008 (2008-2009) | |

SPP Template – Part C (3)

Montana
State

| | |
|----------------------------|--|
| 2009 (2009-2010) | |
| 2010 (2010-2011) | |

Improvement Activities/Timelines/Resources:

Improvement activities, timelines and resources to be listed on the 2-1-07 Annual Performance Report.

Part C State Performance Plan (SPP) for 2005-2010**Overview of the State Performance Plan Development:**

See Indicator 1 for a description of the State Performance Plan development.

(The following items are to be completed for each monitoring priority/indicator.)

Monitoring Priority: Effective General Supervision Part C / Child Find

Indicator 5: Percent of infants and toddlers birth to 1 with IFSPs compared to:

- A. Other States with similar eligibility definitions; and
- B. National data.

(20 USC 1416(a)(3)(B) and 1442)

Measurement:

- A. Percent = # of infants and toddlers birth to 1 with IFSPs divided by the population of infants and toddlers birth to 1 times 100 compared to the same percent calculated for other States with similar (narrow, moderate or broad) eligibility definitions.
- B. Percent = # of infants and toddlers birth to 1 with IFSPs divided by the population of infants and toddlers birth to 1 times 100 compared to National data.

Overview of Issue/Description of System or Process:

The Developmental Disabilities Program in conjunction with the Family Support Services Advisory Council (FSSAC, Montana's ICC) and regional Part C agencies, coordinates the Part C comprehensive public awareness and child find system designed to identify infants and toddlers with disabilities who may be eligible for Part C services. The system and state policies and procedures comply with the Part C IDEA statutes and rules and regulations for public awareness and child find systems. The system includes: public awareness including all primary referral sources, child find procedures, coordination of child find activities across state and local agencies, referral procedures of potentially eligible children to qualified Part C provider agencies, and responsive eligibility determination procedures. The Part C comprehensive child find system is implemented in cooperation with, and is consistent with, Office Public Instruction's (OPI) Part B of IDEA child find program. Parents Let's Unite for Kids, Montana's Parent Training and Information Center, serves as the central directory for Part C and is directly involved in public awareness and child find efforts.

The system includes multiple ways for making timely referrals to regional Part C agencies by primary referral sources. Rigorous standards are in place for appropriately identifying infants and toddlers who may benefit from Part C services and referring potentially eligible infants and toddlers for services. Child find procedures reach out to all children, including infants and toddlers with disabilities who are receiving services from or attending public or private health and human service programs, hospitals and clinics, child care programs, Early Head Start and Head Start or schools. The Development Disabilities Program, with the assistance of the FSSAC, implements the comprehensive public awareness and child find system which assures that all infants and toddlers who may be eligible for Part C services are identified, located, and evaluated. This system is conducted in cooperation and coordination with all other similar statewide and major child find efforts. The seven regional Part C agencies are responsible for coordinating and cooperating with child find efforts in their regions and for evaluating services. This includes programs like Child and Family Protective Services (under CAPTA) that have policies and procedures for the referral for early intervention services of all children under the age of three who are involved in a substantiated case of child abuse or neglect; or is identified as affected by illegal substance abuse, or withdrawal symptoms resulting

from prenatal drug exposure. Further the DDP and regional Part C agencies coordinate public awareness and child find with various Tribal services for Native Americans living on or off of Indian Reservations. In addition, public awareness activities are designed by each regional Part C agency to specifically reach out to populations that might be difficult to reach through broad-based public awareness activities. These activities have been augmented by the successful implementation of the Dynamic Community Connections Projects (OSEP funded Child Find demonstration Project) in rural communities in each of the five DPP service regions.

The DDP is responsible for the seven regional Part C agencies child find efforts through monitoring (1) contractual agreements with the regional part C agencies, and (2) state and local interagency agreements. The DDP implements a continuous data collection system designed to determine which infants and toddlers are receiving Part C services and to determine the extent to which primary referral sources disseminate information on the availability of Part C early intervention services and make referrals for services. Pamphlets, brochures and other public awareness materials and activities are disseminated by the seven regional Part C agencies to physicians' offices, hospitals, neonatal hospitals, child care resource and referral agencies, homeless family shelters, public health departments, Child and Family Services, WIC offices and other appropriate primary referral source locations. The states central directory, Parent's Lets Unite for Kids, also disseminates information about Part C services and referral.

Baseline Data for FFY 2004 (2004-2005):

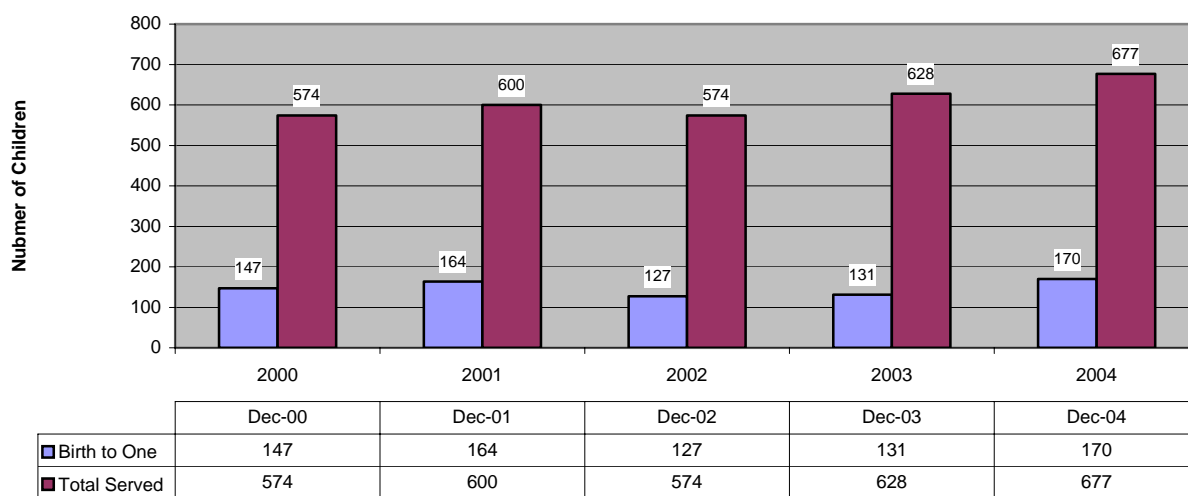
- (Measurement a.1, New Narrow Eligibility Criteria data, Table 8-4a for the data and Table 8-3c for the new list of Narrow states) Montana's December 1, 2004, child count data (618) indicates 1.58% of Montana's infants are served compared to .92% for the other 16 states with the new Narrow Eligibility Criteria.
- (Measurement a.2, Old Narrow Eligibility Criteria data, Table 8-4a for the data and Table 8-3a for the old list of Narrow states) Montana's December 1, 2004, child count data (618) indicates 1.58% of Montana's infants are served compared to .97% for the other 8 states with the Old Narrow Eligibility Criteria.
- (Measurement b., National data, Table 8-4a) Montana's December 1, 2004, indicates 1.58% of Montana's infants are served compared to .98% National baseline.

Discussion of Baseline Data:

Montana's public awareness and child find efforts are very successful in identifying and serving infants in Part C. Montana serves 1.58% of all infants in the state which is a higher rate then the average per cent served for states with a narrow eligibility criteria like Montana (compared to both the old and new list of states as well as the National baseline rate. However, Montana will continue to thoroughly evaluate public awareness and child find activities and data to ensure that Part C is identifying infants who might be eligible for Part C as soon as possible.

Additional Child Count Data and Comparisons:

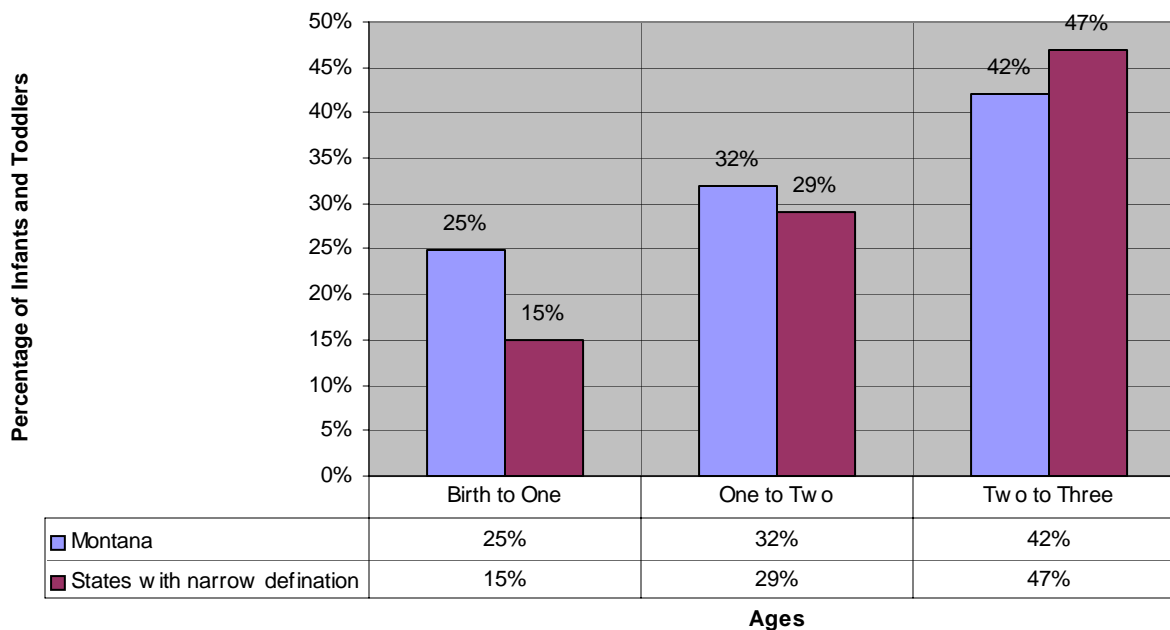
Number of Birth to One Infants, Being Served in Early Intervention in Montana for the Years from December 2000 through December 2004



December 1, Child Count

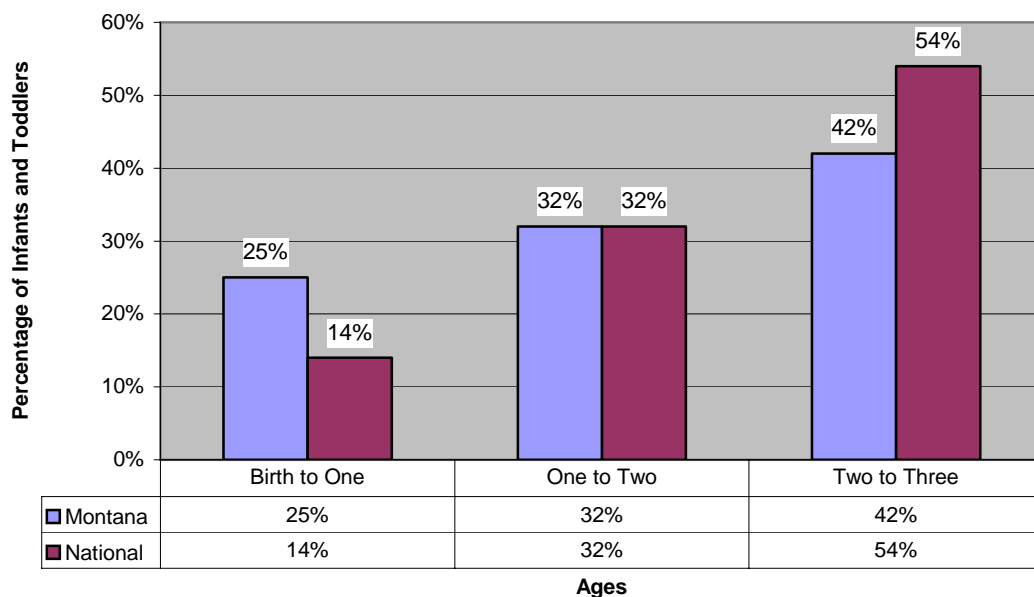
- December 1, 2000, 147 of 574 (26%) are children, birth to one.
- December 1, 2001, 164 of 600 (28%) are children, birth to one.
- December 1, 2002, 127 of 574 (22%) are children, birth to one.
- December 1, 2003, 131 of 628 (21%) are children, birth to one.
- December 1, 2004, 170 of 677 (25%) are children, birth to one.

Percentage of Infants and Toddlers (0-3) Served by Age in Montana Compared to Narrow Definition States on December 1, 2004 Child Count (source WESTAT website, Table AH1)



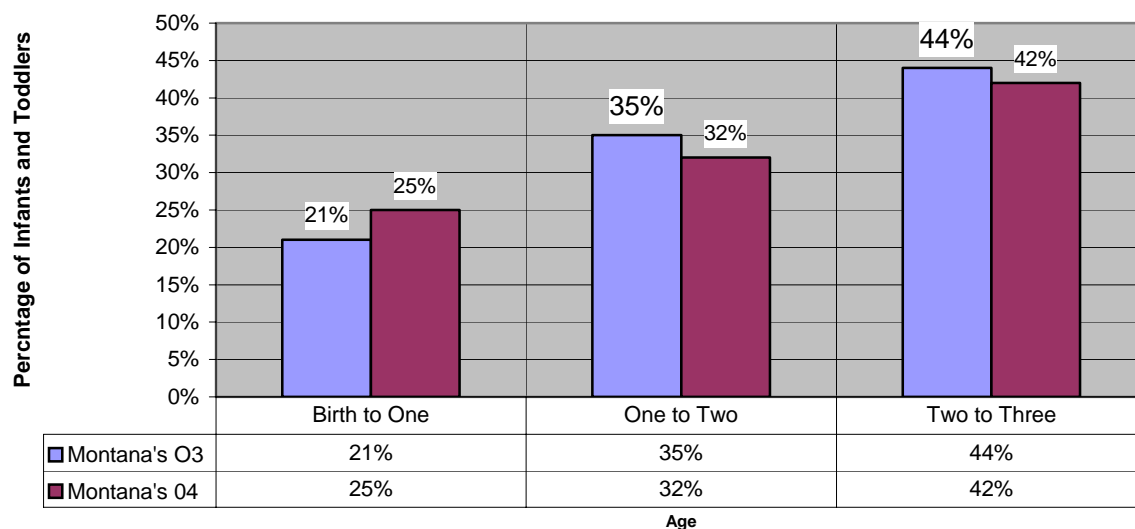
- On December 1, 2004 Child Count, Montana reported 170 infants (birth to one) of 677 total infants and toddlers in Part C. Infants represent 25% of all children being served in Part C early intervention in Montana. States with narrow definition reported by OSEP had a total of 4,916 infants (0-1) of 34,002 children in Part C. Infants, with the new narrow eligibility criteria definition, represent 15% of all children served in Part C early intervention with this definition.
- Montana shows 10% more infants (0-1) being served compared to other states with the new narrow definitions in early intervention services.

Percentage of Infants and Toddlers (0-3) Served By Age in Montana Compared to National Data on December 1, 2004 Child Count (source WESTAT website, Table AH1)



- On December 1, 2004 Child Count, Montana reported 170 infants (birth to one) of 677 total infants and toddlers in Part C. Infants represent 25% of all children being served in Part C early intervention in Montana. The OSEP National data indicated 38,192 (birth to one) of a total of 275,484 children in Part C in the United States, which represents 14% of all children served in Part C early intervention.
- On the December 1, 2004 Child Count, Montana shows 11% more Birth to One Infants being served in Montana as compared to the OSEP's National data.

**Comparison of Percentage of Infants and Toddlers (0-3) Served By Age on Montana's
December 1 Child Count for 2003 and 2004**



- Montana's 2003 and 2004 Child Count data for infants (birth to one), has an increase of 4%.

| FFY | Measurable and Rigorous Target |
|----------------------------|--|
| 2005 (2005-2006) | Part C in Montana will serve 1.58 % of all infants in the state. |
| 2006 (2006-2007) | Part C in Montana will serve 1.58 % of all infants in the state. |
| 2007 (2007-2008) | Part C in Montana will serve 1.62 % of all infants in the state. |
| 2008 (2008-2009) | Part C in Montana will serve 1.62 % of all infants in the state. |
| 2009 (2009-2010) | Part C in Montana will serve 1.62 % of all infants in the state. |
| 2010 (2010-2011) | Part C in Montana will serve 1.68 % of all infants in the state. |

Improvement Activities/Timelines/Resources:

SPP Template – Part C (3)

Montana
State

| Activities | Timelines | Resources |
|---|---|--|
| 1. Annually review child find data by state and regional Part C agency to determine steps needed to improve child find efforts. | (1) July 1, 2006- June 30, 2007 (2) July 1, 2007- June 30, 2008 (3) July 1, 2008- June 30, 2009 (4) July 1, 2009- June 30, 2010 (5) July 1, 2010- June 30, 2011 | FSSAC, regional Part C agency managers, Part C technical assistance and trainers, PLUK and the Part C Coordinator. |
| 2. Annually review, revise (when needed), develop and disseminate child find materials and activities. | (1) July 1, 2006- June 30, 2007 (2) July 1, 2007- June 30, 2008 (3) July 1, 2008- June 30, 2009 (4) July 1, 2009- June 30, 2010 (5) July 1, 2010- June 30, 2011 | FSSAC, regional Part C agency managers, Part C technical assistance and trainers, PLUK and the Part C Coordinator. |
| 3. Disseminate child find materials and conduct public awareness activities with targeted medical staff in clinics and hospitals on an ongoing basis and analyze impact and revise strategies and materials biannually. | (1) July 1, 2006- June 30, 2008 (2) July 1, 2008- June 30, 2010 (3) July 1, 2010- June 30, 2011 | FSSAC, regional Part C agency managers, Part C technical assistance and trainers, PLUK and the Part C Coordinator. |
| 4. Montana will review the TRACE recommendations that are relevant to the state's child find system and determine how to implement the ones that fit the child find system and state demographics. | July 1, 2006 to June 30, 2009 | FSSAC, regional Part C agency managers, Part C technical assistance and trainers, PLUK and the Part C Coordinator. |
| 5. Annually review to ensure linkage of Part C early intervention to other local early childhood health and human services with specific emphasis on | (1) July 1, 2006- June 30, 2007 (2) July 1, 2007- June 30, 2008 (3) July 1, 2008- June 30, 2009 | FSSAC, regional Part C agency managers, Part C technical assistance and trainers, PLUK and the Part C Coordinator. |

SPP Template – Part C (3)

Montana
State

| | | |
|---|---|--|
| programs and providers serving infants. | (4)July 1, 2009- June 30,2010 (5)July 1, 2010- June 30,2011 | |
| 6. Review annually and enhance linkages of Part C early intervention with the Department of Public Health and Human Services statewide programs for infants, toddlers and young children. | (1)July 1, 2006- June 30,2007 (2)July 1, 2007- June 30,2008 (3)July 1, 2008- June 30,2009 (4)July 1, 2009- June 30,2010 (5)July 1, 2010- June 30,2011 | FSSAC, regional Part C agency managers, Part C technical assistance and trainers, PLUK and the Part C Coordinator. |

Part C State Performance Plan (SPP) for 2005-2010**Overview of the State Performance Plan Development:**

See Indicator 1 for a description of the State Performance Plan development.

(The following items are to be completed for each monitoring priority/indicator.)

Monitoring Priority: Effective General Supervision Part C / Child Find

Indicator 6: Percent of infants and toddlers birth to 3 with IFSPs compared to:

- A. Other States with similar eligibility definitions; and
- B. National data.

(20 USC 1416(a)(3)(B) and 1442)

Measurement:

- A. Percent = # of infants and toddlers birth to 3 with IFSPs divided by the population of infants and toddlers birth to 3 times 100 compared to the same percent calculated for other States with similar (narrow, moderate or broad) eligibility definitions.
- B. Percent = # of infants and toddlers birth to 3 with IFSPs divided by the population of infants and toddlers birth to 3 times 100 compared to National data.

Overview of Issue/Description of System or Process:

The Developmental Disabilities Program in conjunction with the Family Support Services Advisory Council (FSSAC, Montana's ICC) and regional Part C agencies, coordinates the Part C comprehensive public awareness and child find system designed to identify infants and toddlers with disabilities who may be eligible for Part C services. The system and state policies and procedures comply to the Part C IDEA statutes and rules and regulations for public awareness and child find systems. The system includes: public awareness including all primary referral sources, child find procedures, coordination of child find activities across state and local agencies, referral procedures of potentially eligible children to qualified Part C provider agencies, and responsive eligibility determination procedures. The Part C comprehensive child find system is implemented in cooperation with, and is consistent with, Office Public Instruction's (OPI) Part B of IDEA child find program. Parents Let's Unite for Kids, Montana's Parent Training and Information Center, serves as the central directory for Part C and is directly involved in public awareness and child find efforts.

The system includes multiple ways for making timely referrals to regional Part C agencies by primary referral sources. Rigorous standards are in place for appropriately identifying infants and toddlers who may benefit from Part C services and referring potentially eligible infants and toddlers for services. Child find procedures reach out to all children, including infants and toddlers with disabilities who are receiving services from or attending public or private health and human service programs, hospitals and clinics, child care programs, Early Head Start and Head Start or schools. The Development Disabilities Program, with the assistance of the FSSAC, implements the comprehensive public awareness and child find system which assures that all infants and toddlers who may be eligible for Part C services are identified, located, and evaluated. This system is conducted in cooperation and coordination with all other similar statewide and major child find efforts. The seven regional Part C agencies are responsible for coordinating and cooperating with child find efforts in their regions and for evaluating services. This includes programs like Child and Family Protective Services (under CAPTA) that have policies and procedures for the referral for early intervention services of all children under the age of three who are involved in a substantiated case of child abuse or neglect; or is identified as affected by illegal substance abuse, or withdrawal symptoms resulting

from prenatal drug exposure. Additionally, the DDP works with new-born screening programs in Montana like the Newborn Hearing Screening Program. Representatives from these programs are on the Family Support Services Advisory Council. Further the DDP and regional Part C agencies coordinate public awareness and child find with various Tribal services for Native Americans living on or off of Indian Reservations. In addition, public awareness activities are designed by each regional Part C agency to specifically reach out to populations that might be difficult to reach through broad-based public awareness activities. These activities have been augmented by the successful implementation of the Dynamic Community Connections Projects (OSEP funded Child Find demonstration Project) in rural communities in each of the five DPP service regions.

The DDP is responsible for the seven regional Part C agencies child find efforts through monitoring (1) contractual agreements with the regional part C agencies, and (2) state and local interagency agreements. The DDP implements a continuous data collection system designed to determine which infants and toddlers are receiving Part C services and to determine the extent to which primary referral sources disseminate information on the availability of Part C early intervention services and make referrals for services. Pamphlets, brochures and other public awareness materials and activities are disseminated by the seven regional Part C agencies to physicians' offices, hospitals, neonatal hospitals, child care resource and referral agencies, homeless family shelters, public health departments, Child and Family Services, WIC offices and other appropriate primary referral source locations. The states central directory, Parent's Lets Unite for Kids, also disseminates information about Part C services and referral.

Baseline Data for FFY 2004 (2004-2005):

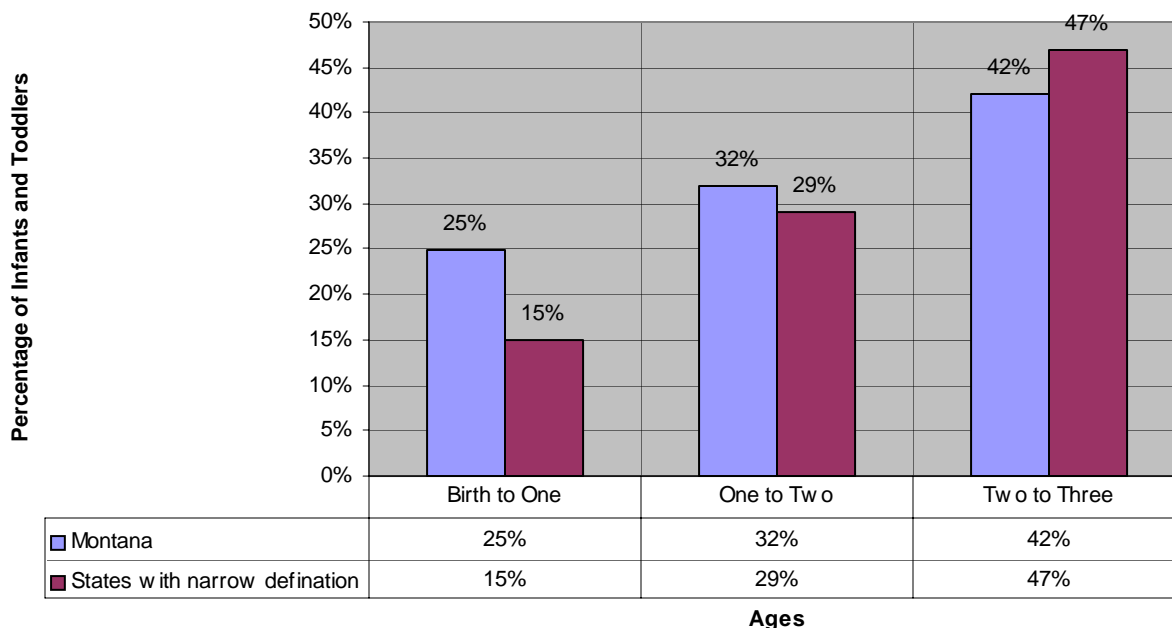
- (Measurement a.1, New Narrow Eligibility Criteria data from Table 8-3c.) Montana's December 1, 2004 child count data (618) indicates 2.13% of Montana's infants and toddlers are served compared to 1.8% for the other 16 states with the new Narrow Eligibility Criteria.
- (Measurement a.2, Old Narrow Eligibility Criteria data from Table 8-3a) Montana's December 1, 2004, child count data (618) indicates 2.13% of Montana's infants and toddlers are served compared to 1.8% for the other 8 states with the Old Narrow Eligibility Criteria.
- (Measurement b, National data, Table 8-3a) Montana's December 1, 2004, child count data (618) indicates 2.13% of Montana's infants and toddlers are served compared to 2.30% for the national average of all states, including states serving "at risk" infants and toddlers.

Discussion of Baseline Data:

Montana's public awareness and child find efforts are very successful in identifying and serving infants and toddlers in Part C. Montana serves 2.13% of all infants and toddlers in the state which is a higher rate than the average percent served for states with a narrow eligibility criteria like Montana (compared to both the old and new list of states). However, Montana will continue to thoroughly evaluate public awareness and child find activities and data to ensure that Part C is identifying infants and toddlers who might be eligible for Part C as soon as possible. Further, child count data indicate that infants and toddlers receiving Part C services are demographically and ethnically representative of Montana. Approximately 8% of Montana's population is Native American which is the largest non-white population. Native American infants and toddlers make up nearly 20% of the children in Part C.

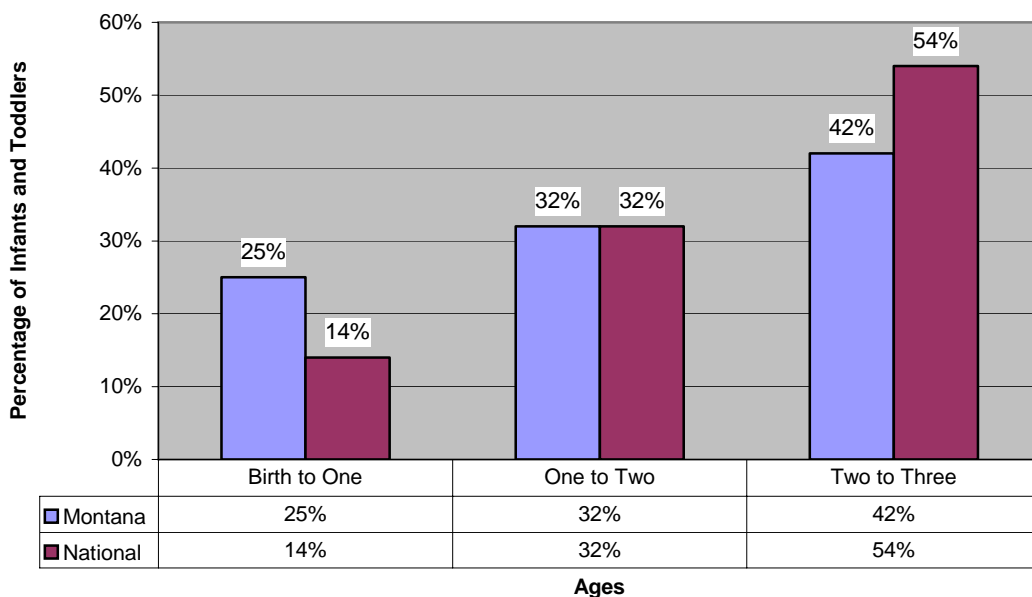
Additional Child Count Data and Comparisons:

Percentage of Infants and Toddlers (0-3) Served by Age in Montana Compared to Narrow Definition States on December 1, 2004 Child Count (source WESTAT website, Table AH1)



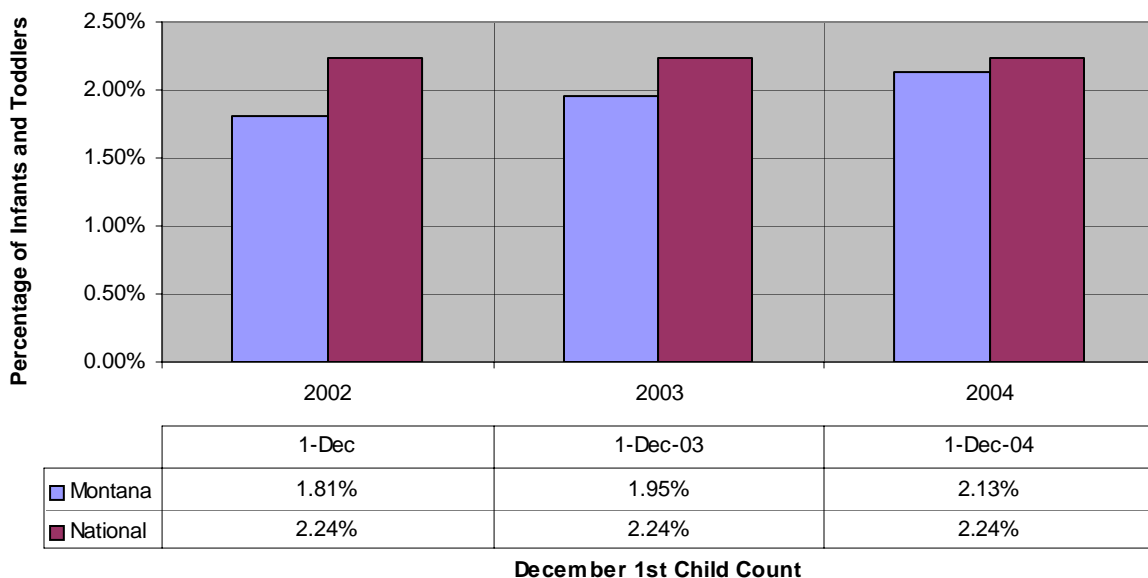
- On December 1, 2004 Child Count, Montana reported 170 infants (birth to one) of 677 total infants and toddlers in Part C. Infants represent 25% of all children being served in Part C early intervention in Montana. States with the new narrow definition reported by OSEP had a total of 4,916 infants (0-1) of 34,002 children in Part C. Infants, with the new narrow eligibility criteria definition, represent 15% of all children served in Part C early intervention with this definition.
- On December 1, 2004 Child Count, Montana reported 220 children (1 -2) of 677 total infants and toddlers in Part C. This age range represents 32% of all children being served in Part C early intervention in Montana. States with the new narrow definition reported by OSEP had a total of 9,826 children (1-2) of 34,002 children in Part C. Toddlers (1-2), with the new narrow eligibility criteria definition, represent 29% of all children served in Part C early intervention with this definition.
- On December 1, 2004 Child Count, Montana reported 287 children (2-3) of 677 total infants and toddlers in Part C. This age range represents 42% of all children being served in Part C early intervention in Montana. States with the new narrow definition reported by OSEP had a total of 16,107 children (2-3) of 34,002 children in Part C. Toddlers (2-3), with the new narrow eligibility criteria definition, represent 47% of all children served in Part C early intervention with this definition.

Percentage of Infants and Toddlers (0-3) Served By Age in Montana Compared to National Data on December 1, 2004 Child Count (source WESTAT website, Table AH1)



- On December 1, 2004 Child Count, Montana reported 170 infants (birth to one) of 677 total infants and toddlers in Part C. Infants represent 25% of all children being served in Part C early intervention in Montana. The OSEP National data indicated 39,021 (birth to one) of a total of 269,596 children in Part C in the United States, which represents 14% of all children served in Part C early intervention.
- On December 1, 2004 Child Count, Montana reported 220 children (one to two) of 677 total infants and toddlers in Part C. Children in this age range represent 32% of all children being served in Part C early intervention in Montana. The OSEP National data indicated 85,218 (one to two) of a total of 269,596 children in Part C in the United States, which represents 32% of all children served in Part C early intervention.
- On December 1, 2004 Child Count, Montana reported 287 children (two to three) of 677 total infants and toddlers in Part C. Children in this age range represent 42% of all children being served in Part C early intervention in Montana. The OSEP National data indicated 145,357 (two to three) of a total of 269,596 children in Part C in the United States, which represents 54% of all children served in Part C early intervention.

**Total Percentage of Infants and Toddlers (0-3) Receiving Early Intervention Services in
2002, 2003, and 2004**



- On December 1, 2002, Montana served 1.81% of all infants/toddlers in the state as compared to the National average of 2.24%.
- On December 1, 2003, Montana Served 1.95% of all infants/toddlers in the state as compared to the National average of 2.24%.
- On December 1, 2004, Montana served 2.13% of all infants/toddlers in the state as compared to the National average of 2.24%.
- Between December 2002-2004, Montana had an increase of .32% of the total infants and toddlers receiving, Part C early intervention services.
- On December 1, 2004 Child Count, Montana served 186 (27%) of the 677 children served in Part C, are non-white.
- On December 1, 2004 Child Count, Montana served 144 (21%) of the 677 children served in Part C, are American Indian or Alaska Native.
- That is well over the percentage of those children in the general population. Thus, our public awareness and child find activities are reaching out to all Montana's population groups. Further, county-by-county data indicates that we are reaching the most rural areas of Montana.

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Montana
State

For the FY 2004-2005 the seven regional Part C agencies coordinated with and /or jointly conducted child find events with the following programs listed in the table below.

Number of Collaborative Child Find Events by Type of Program and FFY Year

| Programs | Number of Child Find Events - FFY 2004-2005 | Number of Child Find Events - FFY 2003-2004 |
|---|--|--|
| Schools | 164 | 195 |
| Head Start/Early Head Start | 20 | 28 |
| Public Health | 28 | 35 |
| EPSDT | 4 | 2 |
| Other- clinics, daycares, and private schools | 58 | 40 |

For the FY 2004-2005, the total number of referrals that the seven Part C agencies received from primary referral sources is listed in the table below.

Number and Per Cent of Total Referrals by Program to FFY Year

| Primary Referral Sources | Number of Infants/Toddlers Referred | % by Referral Source FFY 2004-2005 | % by Referral Source FFY 2003-2004 |
|-----------------------------|-------------------------------------|---------------------------------------|---------------------------------------|
| Hospitals | 171 | 14% | 13% |
| Physicians | 221 | 18% | 16% |
| Parents | 262 | 22% | 23% |
| Daycare Providers | 17 | 1% | 2% |
| Schools | 85 | 7% | 6% |
| Public Health | 64 | 5% | 8% |
| Child Protective Service | 127 | 11% | 7% |
| Other Social Services | 70 | 6% | 5% |
| Other Health Care Providers | 65 | 5% | 10% |
| Other | 121 | 10% | 10% |

- Montana had an increase in five of the primary referral source.
- Child Protective Service referrals increased as Part C/CAPTA referral procedures were improved.

| FFY | Measurable and Rigorous Target |
|----------------------------|--|
| 2005 (2005-2006) | Part C in Montana will serve 2.13% of all infants and toddlers in the state. |
| 2006 (2006-2007) | Part C in Montana will serve 2.13% of all infants and toddlers in the state. |
| 2007 (2007-2008) | Part C in Montana will serve 2.26% of all infants and toddlers in the state. |
| 2008 (2008-2009) | Part C in Montana will serve 2.26% of all infants and toddlers in the state. |
| 2009 (2009-2010) | Part C in Montana will serve 2.26% of all infants and toddlers in the state. |
| 2010 (2010-2011) | Part C in Montana will serve 2.39% of all infants and toddlers in the state. |

Improvement Activities/Timelines/Resources:

| Activities | Timelines | Resources |
|---|---|--|
| 1. Annually review child find data by state and regional Part C agency to determine steps needed to improve child find efforts. | (1) July 1, 2006- June 30, 2007 (2) July 1, 2007- June 30, 2008 (3) July 1, 2008- June 30, 2009 (4) July 1, 2009- June 30, 2010 (5) July 1, 2010- June 30, 2011 | FSSAC, regional Part C agency managers, Part C technical assistance and trainers, PLUK and the Part C Coordinator. |
| 2. Annually review, revise (when needed), develop and disseminate child find materials and activities. | (1) July 1, 2006- June 30, 2007 (2) July 1, 2007- June 30, 2008 (3) July 1, 2008- June 30, 2009 (4) July 1, 2009- June 30, 2010 (5) July 1, 2010- June 30, 2011 | FSSAC, regional Part C agency managers, Part C technical assistance and trainers, PLUK and the Part C Coordinator. |

SPP Template – Part C (3)

Montana
State

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|---|---|--|
| 3. Disseminate child find materials and conduct public awareness activities with targeted medical staff in clinics and hospitals on an ongoing basis and analyze impact and revise strategies and materials biannually. | (1)July 1, 2006- June 30,2008 (2)July 1, 2008- June 30,2010 (3)July 1, 2010- June 30,2011 | FSSAC, regional Part C agency managers, Part C technical assistance and trainers, PLUK and the Part C Coordinator. |
| 4. Montana will review the TRACE recommendations that are relevant to the state's child find system and determine how to implement the ones that fit the child find system and state demographics. | July 1, 2006 to June 30, 2009 | FSSAC, regional Part C agency managers, Part C technical assistance and trainers, PLUK and the Part C Coordinator. |
| 5. Annually review to ensure linkage of Part C early intervention to other local early childhood health and human services with specific emphasis on programs and providers serving infants. | (1)July 1, 2006- June 30,2007 (2)July 1, 2007- June 30,2008 (3)July 1, 2008- June 30,2009 (4)July 1, 2009- June 30,2010 (5)July 1, 2010- June 30,2011 | FSSAC, regional Part C agency managers, Part C technical assistance and trainers, PLUK and the Part C Coordinator. |
| 6. Review annually and enhance linkages of Part C early intervention with the Department of Public Health and Human Services statewide programs for infants, toddlers and young children. | (1)July 1, 2006- June 30,2007 (2)July 1, 2007- June 30,2008 (3)July 1, 2008- June 30,2009 (4)July 1, 2009- June 30,2010 (5)July 1, 2010- June 30,2011 | FSSAC, regional Part C agency managers, Part C technical assistance and trainers, PLUK and the Part C Coordinator. |

Part C State Performance Plan (SPP) for 2005-2010**Overview of the State Performance Plan Development:**

See Indicator 1 for a description of the State Performance Plan development.

(The following items are to be completed for each monitoring priority/indicator.)

Monitoring Priority: Effective General Supervision Part C / Child Find

Indicator 7: Percent of eligible infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.

(20 USC 1416(a)(3)(B) and 1442)

Measurement:

Percent = # of eligible infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting was conducted within Part C's 45-day timeline divided by # of eligible infants and toddlers evaluated and assessed times 100.

Account for untimely evaluations.

Overview of Issue/Description of System or Process:

Brief Overview of Part C Services in Montana. See Indicator 1 for an introduction of how Part C services are provided in Montana and the role of the Family Support Specialist (FSS) in the planning and implementation of services.

Policies and Procedures Promote the Completion of Evaluations, Assessments and Development of IFSPs Within the 45-Day Timeline.

- Montana's policies and procedures require that eligibility evaluations, eligibility determination processes, assignment of a FSS, and development of the initial IFSP be completed within 45 days of referral to a regional Part C agency. For children with an established condition that makes the child eligible for Part C services, their eligibility can be determined and documented in a very short time (e.g., one week or less). For children requiring a multidisciplinary evaluation, the eligibility determination process will usually take longer, unless evaluations were completed prior to referral (e.g., evaluation completed as result of a screening process). However, since Part C agencies provide timely evaluations for eligibility. During this process, the Intake Specialist will cover Part C services, procedural safeguards/rights, etc. and start to gather information that is not only needed for determining eligibility but may be used in IFSP planning. An FSS is immediately assigned to a child and family after eligibility is determined and the FSS immediately schedules a home visit with the family. The FSS follows the families lead in determining their priorities for their child and family, and with the family determines what other assessments may need to be completed and information gathered to develop the IFSP. Further, they determine with the family who should be involved with the development of their IFSP.
- If for any reason the 45-day time frame is not or will not be met, there must be documentation in the child and family's record, to include reasons for the extension, and initiation of the development of an interim IFSP. The timeline is monitored within the provider agencies and validated through the monitoring system of general supervision described for Indicator 9.

- Montana requires every FSS to complete certification, which includes competencies for the gathering of family information, conducting child evaluations and assessments, the development of IFSPs, and skills necessary to promote collaboration and teaming.
- FSSs and other appropriate Part C providers receive training in the areas of family information, conducting child evaluations and assessments, the development of IFSPs, and skills necessary to promote collaboration and teaming, as well as other necessary early intervention practices.

Part C General Supervision/Monitoring and Data Reporting: Please refer to Indicator 9 for a description of Montana's Part C general supervision and monitoring of Part C services as well as the plan for reporting the state APR and APR's for each of the seven regional Part C agencies.

Baseline Data for FFY 2004 (2004-2005):

100% ($35/35 = 1 \times 100 = 100\%$) of eligible infants and toddlers with IFSPs had evaluation and assessment completed and an initial IFSP meeting within Part C's 45-day timeline.

Discussion of Baseline Data:

Data from State monitoring (See Indicator 9 for description of monitoring):

- The 45-day timeline is monitored within the provider agencies and validated in the Montana's Comprehensive Evaluation Process for Family Education and Support Services. The Quality Improvement Specialist monitors the agencies to assure that timelines are being met. All seven regional Part C agencies were monitored for the FY 2004-2005.
- Each of the seven regional Part C agencies were monitored for the FY 2004-2005. The DPP conducted a file review of 5 randomly selected children and families with IFSPs in Part C services for each agency. A total of 35 files were reviewed during the monitoring process.
- The monitoring indicated evaluations and assessments were completed and an IFSP written within 45 days for each of the 35 files reviewed (100%).
- This data follows the trend of past APR data for this indicator.

Other Data Related to Timely Provision of Part C Services:

- Data collected through this process indicates that child and family needs are identified within 45 days of entering into services.
- Data collected continues to ensure that evaluations and assessments, both for children who are found eligible for Part C and those who are found ineligible, are individualized and multidimensional.
- Thirty-five out of thirty-five (100%) IFSPs reviewed are consistent with each of the requirement. (FY 2002-2003, 100%, 2003-2004, 100%)
- Thirty-five out of thirty-five (100%) files reviewed showed that families were the primary decision makers. (FY 2002-2003, 100%, 2003-2004, 100%)

| FFY | Measurable and Rigorous Target |
|------|---|
| 2005 | 100% of all infants and toddlers eligible for Part C services will have completed |

SPP Template – Part C (3)

Montana
State

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|-----------------------------|--|
| (2005-2006) | evaluation(s) and assessment(s) and an initial IFSP meeting conducted within Part C's 45-day timeline. |
| 2006 (2006-2007) | 100% of all infants and toddlers eligible for Part C services will have completed evaluation(s) and assessment(s) and an initial IFSP meeting conducted within Part C's 45-day timeline. |
| 2007 (2007-2008) | 100% of all infants and toddlers eligible for Part C services will have completed evaluation(s) and assessment(s) and an initial IFSP meeting conducted within Part C's 45-day timeline. |
| 2008 (2008-2009) | 100% of all infants and toddlers eligible for Part C services will have completed evaluation(s) and assessment(s) and an initial IFSP meeting conducted within Part C's 45-day timeline. |
| 2009 (2009-2010) | 100% of all infants and toddlers eligible for Part C services will have completed evaluation(s) and assessment(s) and an initial IFSP meeting conducted within Part C's 45-day timeline. |
| 2010 (2010-2011) | 100% of all infants and toddlers eligible for Part C services will have completed evaluation(s) and assessment(s) and an initial IFSP meeting conducted within Part C's 45-day timeline. |

Improvement Activities/Timelines/Resources:

| Activities | Timelines | Resources |
|---|--|--|
| 7. Montana will continue to refine the tool, Montana's Comprehensive Evaluation Process for Family Education and Support Services, to enhance the State's data collection process for this indicator. | July 1, 2005 to June 30, 2006 | FSSAC, Providers Managers, Part C technical assistance and trainers, and the Part C Coordinator. |
| 8. Provide initial and annual training for the Quality Improvement Specialists. | (1) July 1, 2006- June 30, 2007 (2) July 1, 2007- June 30, 2008 (3) July 1, 2008- June 30, 2009 (4) July 1, 2009- June 30, 2010 | FSSAC, Providers Managers, Part C technical assistance and trainers, and the Part C Coordinator. |

SPP Template – Part C (3)

Montana
State

| | | |
|---|---|--|
| | (5) July 1, 2010- June 30, 2011 | |
| 9. DDP will monitor programs for compliance with this indicator. When noncompliance is identified, Quality Improvement Specialists and Part C Coordinator will work with program to determine nature of noncompliance, develop and implement improvement Plan or Corrective Action. | (1) July 1, 2006- June 30, 2007 (2) July 1, 2007- June 30, 2008 (3) July 1, 2008- June 30, 2009 (4) July 1, 2009- June 30, 2010 (5) July 1, 2010- June 30, 2011 | FSSAC, Providers Managers, Part C technical assistance and trainers, and the Part C Coordinator. |
| 10. Regional Part C agencies will train new FSSs on the Part C requirements and competences related to Indicator 7. | (1) July 1, 2006- June 30, 2007 (2) July 1, 2007- June 30, 2008 (3) July 1, 2008- June 30, 2009 (4) July 1, 2009- June 30, 2010 (5) July 1, 2010- June 30, 2011 | Regional Part C agency Managers and supervisors, Part C technical assistance and trainers, and the Part C Coordinator. |
| 11. Provide in-service training and resources concerning research-based practices for evaluation and assessment of infants and toddlers. | January 1, 2006 to December 31, 2007 | Regional Part C agency Managers and supervisors, Part C technical assistance and trainers, and the Part C Coordinator. |
| 12. Biannually assess personnel development needs concerning early intervention practices associated with Indicator 7. | January 1, 2007 to December 31, 2009 | Regional Part C agency Managers and supervisors, Part C technical assistance and trainers, and the Part C Coordinator. |

SPP Template – Part C (3)

Montana

State

| | | |
|---|--------------------------------------|--|
| 13. Provide training concerning early intervention practices associated with Indicator 7 identified in activity 6 (above) | January 1, 2007 to December 31, 2009 | Regional Part C agency Managers and supervisors, Part C technical assistance and trainers, and the Part C Coordinator. |
|---|--------------------------------------|--|

Part C State Performance Plan (SPP) for 2005-2010**Overview of the State Performance Plan Development:**

See Indicator 1 for a description of the State Performance Plan development.

(The following items are to be completed for each monitoring priority/indicator.)

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Indicator 8: Percent of all children exiting Part C who received timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including:

- A. IFSPs with transition steps and services
- B. Notification to LEA, if child potentially eligible for Part B: and
- C. Transition conference, if child potentially eligible for Part B.

(20 USC 1416(a)(3)(B) and 1442)

Measurement:

- A. Percent = # of children exiting Part C who have an IFSP with transition steps and services divided by # of children exiting Part C times 100.
- B. Percent = # of children exiting Part C and potentially eligible for Part B where notification to the LEA occurred divided by the # of children exiting Part C who were potentially eligible for Part B times 100.
- C. Percent = # of children exiting Part C and potentially eligible for Part B where the transition conference occurred divided by the # of children exiting Part C who were potentially eligible for Part B times 100.

Overview of Issue/Description of System or Process:

Brief Overview of Part C Services in Montana. See indicator 1 for an introduction of how Part C services are provided in Montana and the role of the Family Support Specialist (FSS) in the planning and implementation of services.

Montana has adopted policies and procedures in compliance with Part C statutes, rules and regulations to ensure a smooth transition all children, including toddlers in Part C services as they move into preschool, school and other appropriate services. Materials have been developed to explain all transition policies and procedures to all parties concerned, including materials developed specifically for parents and family members (**First Steps**) developed by Part C staff, Part 619/B staff and FSSAC in conjunction with Montana's Parent Training and Information Center, PLUK. The issue of transition is discussed with all new families enrolling in Part C services and at IFSP review and IFSP development meetings. Each regional Part C agency provides transition policies and procedures training to all new Family Support Specialist (FSS) and routine "refresher" training on transition to all FSSs, including the latest research based early intervention practices concerning transition. Further, transition policies, procedures and practices are one of the main competencies required for FSS certification and all FSSs must demonstrate competence in this area.

Interagency agreements with Part C and Part B.

- All seven regional Part C agencies have interagency agreements with the school districts in which they work with to comply with regulations regarding transition.
- At the State level there is an interagency agreement between the Office of Public Instruction (OPI) and the Developmental Disabilities Program (DPP), including Part C to 619.Part B services. Further collaboration with Part B is facilitated by the membership of the Part B 619

Coordinator and a local Special Education Director on Montana's Family Support Service Advisory Council (FSSAC – Montana's ICC) and the FSSAC Chair is also a representative for Part C on OPI's Special Education Advisory Panel.

- DDP and OPI have a good working relationship. The Part C Coordinator and OPI's 619 Coordinator review each individual transition case that is brought to the State level by a parent, Part C provider or Local Education Agency.

Part C General Supervision/Monitoring and Data Reporting: Please refer to Indicator 9 for a description of Montana's Part C general supervision and monitoring of Part C services as well as the plan for reporting the state APR and APR's for each of the seven regional Part C agencies.

Baseline Data for FFY 2004 (2004-2005):

- A. 100% ($35/35=1 \times 100=100\%$) of children exiting Part C had IFSP with transition steps and services.
- B. 89% ($31/35=1 \times 100=89\%$) of children exiting Part C and potentially eligible for Part B were notified to the LEA.
- C. 77% ($27/35=1 \times 100=77\%$) of children exiting Part C and potentially eligible for Part B had the transition conference occur.

Discussion of Baseline Data:**Baseline Data Collection:**

As part of DDP's monitoring of Part C services, each of the seven Part C agencies, covering the whole state, were required to randomly select from all the Part C eligible children who were over the age of 24 months with IFSPs, 5 children/families representative of all Part C children/families in their particular region. The files were reviewed for each child/family selected to determine if (1) transition steps and services were on the IFSP, (2) Notification to LEA, if the child was potentially eligible for Part B, (3) and if a transition conference were held 90 days prior to 3rd birthday, if the child was potentially eligible for Part B. If the following steps did not occur, an explanation was provided. The seven regional Part C agencies reported the data to the Part C Coordinator who compiled the data for the SPP. Each of the Part C agencies will keep in their SPP record for this indicator, including the identification of the children/families randomly selected, so that the DDP during the annual monitoring visit will be able to verify the accuracy (reliability and validity) of the data reported.

Explanation of Baseline Data:

- 35 out of 35 (100%) files reviewed, documented that the IFSPs had transition steps and services in place.
- 31 out of 35 (89%) files reviewed documented that notification to the LEA was provided, if a child was potentially eligible for Part B.
 - One family did not want a referral to local LEA, but wanted a referral for Head Start.
 - Two children moved and release of information was signed and referral was made to a local Part C agency.
 - One child the family did not want to be referred.
- 27 out of 35 (77%) files reviewed documented that transition conferences were held if the child was potentially eligible for Part B.
 - Four families declined school services
 - One family the school rescheduled meeting.

- One family relocated to another state.
- Two children were not referred until they were 2 years, 10 months and 2 years, 9 months.

Other Data Related to Transition:

Other data gathered as part of Montana's general supervision and monitoring processes address factors dealing with transition. See Indicator 9 for a description of those processes. One monitoring activity is annually reviewing each regional Part C agency by DDP staff that includes reviewing a random sample of 5 files for children in Part C services using MONTANA'S COMPREHENSIVE EVALUATION PROCESS FOR FAMILY EDUCATION AND SUPPORT SERVICE TOOL. Results related to Indicator 8 for Fiscal Year (FY 2004-2005) include:

- Thirty-five out of thirty five (100%) files documented that the agency cooperated and coordinated with other community service agencies in meeting child and family needs.
- Seven out of Seven agencies notified the regional office about changes in services to individual children on a client status sheet.
- Thirty-five out of thirty-five (100%) files reviewed included documentation that let parents know that their child would be exited from Part C Services when they become three years of age.
- Seven out of Seven agencies where children were at transition age, there was documentation that the families were made aware of the differences and similarities between Part C and Part B services.
- Seven out of the seven agencies, which support smooth transitions for children and families to preschool services, had formal or informal interagency agreements in place with local education agencies.

The following data is from the monitoring of seven regional Part C agencies in FY 2002-2003 and FY 2003-2004. This data indicates a strong trend in providing transition services.

- Thirty-five files were reviewed and documentation was provided that IFSPs had transition steps and services. (FY 2002-2003, 100%, 2003-2004, 100%)
- Thirty-five files were reviewed and documentation was provided that notification to LEA, was given if a child was potentially eligible for Part B. If the LEA was notified an explanation was provided. (FY 2002-2003, 91%, 2003-2004, 97%.)
 - The number of school districts being notified of children turning three dropped by 8%, because families were choosing not to notify the LEA.
- Thirty-five files were reviewed and documentation was provided that a transition conference was convened 90 days prior to the 3rd birthday, if a child was potentially eligible for Part B. (FY 2002-2003, 83%, 2003-2004, 77%.)
 - The number of transition conferences convened at least 90 days prior to the child's third birthday remains consistent. Explanations were provided on the various reasons why the conferences did not occur.
- Thirty-five files documented that the agency cooperated and coordinated with other community service agencies in meeting child and family needs (FY 2002-2003, 100%, 2003-2004, 100%).
- Seven regional agencies notified the regional office about changes in services to individual children on a client status sheet. (FY 2002-2003, 100%, FY 2003-2004, 100%).
- Seven regional agencies where children were at transition age, there was documentation that families were made aware of the differences and similarities between Part C and Part B services (FY 2002-2003, 100%, 2003-2004, 100%).

Child Count Report (618). Montana relies on data collected for OSEP's Child Count Report (618) from each of the seven regional Part C agencies. Child Count Data for 2003-2004 is compared to the same data from previous Child Counts.

- Number and percentage of all children leaving Early Intervention Services **On or Before Their Third Birthday.**
 - 2001-2002
 - 149 (29%) of 521 children who left early intervention services demonstrated improved and sustained functional abilities at that time.
 - 2002-2003
 - 170 (30%) of 572 children who left early intervention services demonstrated improved and sustained functional abilities at that time.
 - 2003-2004
 - 198 (31%) of 636 children who left early intervention services demonstrated improved and sustained functional abilities at that time.

This data is included in the table below:

Infants and Toddlers Exiting Part C Services

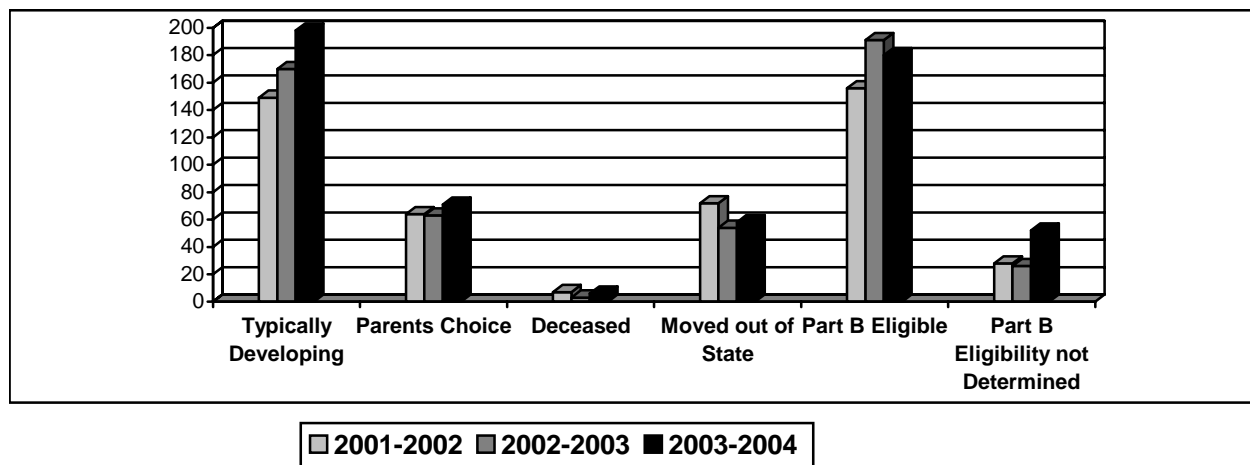


Table on the Number and Percentages of Infants and Toddlers Exiting Part C Services

| Year | Typical Developing | Parent's Choice | Deceased | Moved | Part B Eligible | Part B Not Determined |
|-----------|--------------------|-----------------|----------|----------|-----------------|-----------------------|
| 2001-2002 | 149 (29%) | 64 (12%) | 7 (1%) | 72 (14%) | 156 (30%) | 28 (5%) |
| 2002-2003 | 170 (30%) | 63 (11%) | 3 (1%) | 54 (9%) | 191 (33%) | 26 (5%) |
| 2003-2004 | 198 (31%) | 71 (11%) | 6 (1%) | 58 (9%) | 180 (28%) | 52 (8%) |

| FFY | Measurable and Rigorous Target |
|-----------------------------------|--|
| 2005 (2005-2006) | <p>100 % of all children exiting Part C will receive timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including.</p> <ul style="list-style-type: none"> A. IFSPs with transition steps and services B. Notification to LEA, if child potentially eligible for Part B: and C. Transition conference, if child potentially eligible for Part B. |
| | <p>100 % of all children exiting Part C will receive timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including.</p> <ul style="list-style-type: none"> A. IFSPs with transition steps and services B. Notification to LEA, if child potentially eligible for Part B: and C. Transition conference, if child potentially eligible for Part B. |
| 2007 (2007-2008) | <p>100 % of all children exiting Part C will receive timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including.</p> <ul style="list-style-type: none"> A. IFSPs with transition steps and services B. Notification to LEA, if child potentially eligible for Part B: and C. Transition conference, if child potentially eligible for Part B. |
| 2008 (2008-2009) | <p>100 % of all children exiting Part C will receive timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including.</p> <ul style="list-style-type: none"> A. IFSPs with transition steps and services B. Notification to LEA, if child potentially eligible for Part B: and C. Transition conference, if child potentially eligible for Part B. |
| 2009 (2009-2010) | <p>100 % of all children exiting Part C will receive timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including.</p> |

SPP Template – Part C (3)

Montana
State

| | |
|-----------------------------------|---|
| | <p>A. IFSPs with transition steps and services</p> <p>B. Notification to LEA, if child potentially eligible for Part B: and</p> <p>C. Transition conference, if child potentially eligible for Part B.</p> |
| 2010 (2010-2011) | <p>100 % of all children exiting Part C will receive timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including.</p> <p>A. IFSPs with transition steps and services</p> <p>B. Notification to LEA, if child potentially eligible for Part B: and</p> <p>C. Transition conference, if child potentially eligible for Part B.</p> |

Improvement Activities/Timelines/Resources:

| Activities | Timelines | Resources |
|--|---|--|
| 1. Review and revise Part C and Part B Interagency Agreements to ensure a seamless and timely transition for children and families eligible for Part B services. | July 1, 2005 to January 16, 2006 | Part C Coordinator and Part B 619 Coordinator. |
| 2. Review and revise Part C transition policies and procedures to ensure compliance to the IDEA 2004 | January 1, 2006 to June 30, 2006 | Part C Coordinator, Part B 619 Coordinator, FSSAC. |
| 3. Review and revise transition resource materials for FSSs. | January 1, 2006 to December 31, 2006 | Part C Coordinator, Part B 619 Coordinator, regional Part C agency staff, and FSSAC. |
| 4. Review and revise the transition section of parent and family information resources, including the First Steps document. | January 1, 2006 to April, 2007 | Part C Coordinator, PLUK, Part B 619 Coordinator, regional Part C agency staff, and FSSAC. |
| 5. Work with the Part B Coordinator to provide technical assistance and/or training on transition with providers | <p>(1) July 1, 2006- June 30, 2007</p> <p>(2) July 1, 2007- June 30, 2008</p> | Part C coordinator and Part B 619 Coordinator. |

SPP Template – Part C (3)

Montana
State

| | | |
|---|---|--|
| of Part C and School Districts. | (3)July 1, 2008- June 30,2009 (4)July 1, 2009- June 30,2010 (5)July 1, 2010- June 30,2011 | |
| 6. Review and revise FSS competencies concerning transition to reflect IDEA 2004 and latest research based transition practices | January 1, 2006 to April , 2007 | Part C Coordinator, regional Part C agency staff, and FSSAC. |
| 7. General Enhancement Supervision Grant will assist Montana in identifying any additional transitional data that may improve documentation of transition of services and outcomes. | (1)July 1, 2006- June 30,2007 (2)July 1, 2007- June 30,2008 (3)July 1, 2008- June 30,2009 (4)July 1, 2009- June 30,2010 (5)July 1, 2010- June 30,2011 | Part C Coordinator, Regional Part C agency Managers and supervisors, and Part C technical assistance and trainers. |
| 8. Montana will continue to refine the tool, Montana's Comprehensive Evaluation Process for Family Education and Support Services, to enhance the State's data collection process for this indicator. | January 1, 2006 to June 30, 2006 | FSSAC, Providers Managers, Part C technical assistance and trainers, and the Part C Coordinator. |
| 9. Train DDP monitoring staff on changes made on Montana's Comprehensive Evaluation Process for Family Education and Support Services, to enhance the State's data collection process for this indicator. | January 1, 2006 to June 30, 2006 | Part C Coordinator and DDP monitoring staff. |
| 10. Based on annual transition data collected, provide training and technical assistance in areas that indicate possible transition problems. | (1)July 1, 2006- June 30,2007 (2)July 1, 2007- June 30,2008 (3)July 1, 2008- June 30,2009 (4)July 1, 2009- June 30,2010 | Part C Coordinator, Part B 619 Coordinator, regional Part |

(5) July 1, 2010- June 30, 2011

Part C State Performance Plan (SPP) for 2005-2010**Overview of the State Performance Plan Development:**

See Indicator 1 for a description of the State Performance Plan development.

(The following items are to be completed for each monitoring priority/indicator.)

Monitoring Priority: Effective General Supervision Part C / General Supervision

Indicator 9: General supervision system (including monitoring, complaints, hearings, etc.) identifies and corrects noncompliance as soon as possible but in no case later than one year from identification.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:

- A. Percent of noncompliance related to monitoring priority areas and indicators corrected within one year of identification:
- # of findings of noncompliance made related to priority areas.
 - # of corrections completed as soon as possible but in no case later than one year from identification.
- Percent = b divided by a times 100.
- For any noncompliance not corrected within one year of identification, describe what actions, including technical assistance and/or enforcement that the State has taken.
- B. Percent of noncompliance related to areas not included in the above monitoring priority areas and indicators corrected within one year of identification:
- # of findings of noncompliance made related to such areas.
 - # of corrections completed as soon as possible but in no case later than one year from identification.
- Percent = b divided by a times 100.
- For any noncompliance not corrected within one year of identification, describe what actions, including technical assistance and/or enforcement that the State has taken.
- C. Percent of noncompliance identified through other mechanisms (complaints, due process hearings, mediations, etc.) corrected within one year of identification:
- # of EIS programs in which noncompliance was identified through other mechanisms.
 - # of findings of noncompliance made.
 - # of corrections completed as soon as possible but in no case later than one year from identification.
- Percent = c divided by b times 100.
- For any noncompliance not corrected within one year of identification, describe what actions, including technical assistance and/or enforcement that the State has taken.

Overview of Issue/Description of System or Process:**Overview Of Montana's General Supervision Procedures:**

SPP Template – Part C (3)

Montana
State

The Developmental Disabilities Program's (DDP-Montana's lead agency for Part C in the Department of Public Health and Human Services) general supervision monitoring process for Part C services promotes quality assurance and compliance to Part C requirements. The DDP contracts with seven regional Part C Child and Family Service Providers agencies in Montana's five service regions. Each region has at least one Part C agency.

The State's systems for compliance are based on the analysis and utilization of data from all available sources, including the following:

Montana's Comprehensive Evaluation Process for Family Education and Support

Services: Montana has developed *one* comprehensive monitoring system for all DDP children's services, including Part C services, utilizing *Montana's Comprehensive Evaluation Process for Family Education and Support Services*. Each of Montana's Child and Family Service Provider agencies providing Part C services under go annual and ongoing monitoring by the DDP Quality Improvement Specialists (QIS) utilizing this tool. The QIS are specifically trained in this monitoring process and receive strong technical assistance and support from the Part C Coordinator in the implementation of this process. The QIS work out of DDP offices that are located in each of the five DDP service regions that cover the State of Montana.

The QIS in each region are responsible for gathering and reviewing quality assurance information for this process, including: 1) parent/consumer surveys, 2) desk reviews of administrative and compliance documents, 3) Part C file reviews of individual children and families, and 4) home visits with families who are being served in Part C. During home visits with Part C families, the QIS will ask questions that pertain to the services they are receiving and will follow-up with additional open-ended questions to be able to gain more of an understanding of the information provided by family members. The summary report and, when necessary, the development and implementation of a "Part C Enhancement Plan" that addresses corrective action of compliance issues represent the final product of the process with an individual regional Part C agency. If there are questions concerning compliance issues, the DDP and QIS will continue to monitor the corrective action through completion of the Part C Enhanced Plan.

This monitoring tool guides a comprehensive evaluation process, which includes the following steps:

- Continuous gathering and ongoing review of agency quality assurance documentation;
- Ongoing monitoring of direct services accomplished through Quality Improvement Specialists visits with families;
- Immediate follow up when the information or situation warrants quick actions;
- An annual desk review of all complied information;
- A periodic on-site file review to gather information which may still be needed (i.e., to pick up the pieces which are not otherwise adequately documented by other quality assurance measures); and
- The creation of an annual summary report document, which synthesizes all quality assurance information regarding the agency. This document will focus on areas of accomplishment and exemplary practice that the agency exhibits and on areas where the agency and Developmental Disabilities Program will work together to improve services.
- The development of a Part C Enhancement Plan, when noncompliance or areas for improvement are identified, addresses corrective action of compliance and improvement issues. This is the final product of the process using this monitoring tool with an individual regional Part C agency. If there are questions concerning compliance issues, the Developmental Disabilities Program and Quality Improvement Specialist will continue to monitor the corrective action through completion of the Part C Enhanced Plan.

This tool and process has been reviewed by OSEP in the past. In addition, the tool was revised in November of 2003, and will continue to be modified to make sure Montana is gathering

SPP Template – Part C (3)

Montana

State

appropriate planning and monitoring data and information about Part C Services. The tool will be updated to reflect the 2004 IDEA Part C statutes and rules and regulations (when finalized).

The evaluation process was developed as a means of ensuring quality assurance, and monitoring contract compliance concerning Federal and State service programs provided by Child Family Service Provider agencies across Montana.

Additional Procedures and Data Sources Used For General Supervision and Monitoring of Part C services:

- **Montana Part C Annual Performance Report Data Sheet:** is used on a quarterly basis to gather information on all children who have received Part C services and had a completed IFSP or exited services during the reporting period.
- **Montana's Qualified Provider Standards:** are to assure quality comprehensive, and family-centered services in the disability system for Montana's children and families. The process is three-tiered, involving 1) completion of the application process, 2) initial qualification, and 3) ongoing monitoring of a qualified provider agency.
- **Agency Certification Process:** The regional Part C agencies in the past were required to hold and maintain National Accreditation from either the Accreditation Council on Services for People with Disabilities (AC) or the Rehabilitation Accreditation Council (CARF). All seven regional Part C agencies were accredited during this reporting period.
- **Family Support Specialist Certification Process:** All personnel working in Montana's Early Intervention system are required to attain full second level Certification for Family Support Specialist (FSS) within their first three years of employment. This process ensures that Family Support Specialists fully understand and can provide services according to Part C requirements.
- **Complaint Resolution System:** Parents who express concerns related to compliance are informed and supported to exercise options for resolution either by Impartial Due Process Procedure/Mediation for Resolving Individual Child Complaints or by Procedures for Resolving Formal Complaints.
- **Parent/Consumer Surveys:** DDP and regional Part C agencies conduct routine family/consumer satisfaction surveys with all Part C families. The surveys address service issues concerning the service components of Part C (e.g., evaluation/assessment, IFSP, specific services, procedural safeguards, due process/mediation).

Baseline Data for FFY 2004 (2004-2005):

For the FFY 2004 reporting period, Montana did not have any non compliance issues concerning:

- A. Noncompliance related to monitoring priority arrears and indicators;
- B. Noncompliance related to areas not included in the above monitoring priority areas and indicators; or
- C. Noncompliance identified through other mechanisms (complaints, due process hearings, mediations, etc.).

Discussion of Baseline Data:

Data Related to Indicator 9:

Informal Complaints.

SPP Template – Part C (3)

Montana

State

Each of the seven regional Part C agencies documented informal complaint(s) that they received for the FY 2004-2005. All seven regional Part C agencies did not receive a formal complaint. They documented how each informal complaint was resolved, by whom, how many days did it took to resolve the issue, and if there was documentation that the family was informed about mediation and due process.

| Agencies | Number of Informal Complaints | Who Handled the complaints | Days in which it got resolved | Reasons | Documentation |
|----------|-------------------------------|---------------------------------------|---|---|----------------|
| Agency 1 | 2 | Family Support Specialist Supervisor | 3 days | Complaint about FSS, Resolved by switching staff | Yes, for both |
| Agency 2 | 2 | Family Support Specialist | Less then 10 days | | Yes, for both |
| Agency 3 | 3 | Family Support Specialist Supervisor, | 1 day, 5 days 8 days | Change of FSS Complaint about validity of the child's assessment summary. FSS over stepping their job duties. | Yes |
| Agency 4 | 0 | NA | NA | NA | NA |
| Agency 5 | 0 | NA | NA | NA | NA |
| Agency 6 | 3 | Middle Management | Between 9 to 16 days | Change of FSSs | Yes, all three |
| Agency 7 | 2 | Family Support Specialist Supervisor | Within 7 days Unable to make contact | Change of FSS Family choose to leave services when FSS was going to change | Yes |

At the State level there were two informal complaints for the FFY 2004 that were addressed by the Part C Coordinator. Both individuals were informed of their rights for mediation and due process. Each of the families chose to settle the complaint at the agency level.

Montana's Monitoring Policies and Procedures.

Each of the seven regional part C agencies is monitored on an annual bases using MONTANA'S COMPREHENSIVE EVALUATION PROCESS FOR FAMILY EDUCATION AND SUPPORT SERVICES TOOL. For the FY 2004-2005, all seven of the Part C agencies were found to be in compliance with priority areas for this monitoring period. If an agency were found to be in non-compliance in an area the following steps would occur.

- The DDP provides a written summary of all findings and recommendations to each provider. In the event the review indicates contractor noncompliance with the terms and conditions of the contract (including all requirements under Part C) the contractor must submit, within thirty (30) calendar days of receipt, a written improvement plan detailing actions and timelines for correcting the deficiencies. The DDP must respond in writing within fifteen (15) days of receipt of such plan, accepting or suggesting modifications to the plan and establishing a data to evaluate progress of the plan.
- Generally, if a review reveals a systemic/pervasive deficiency, it is necessary to investigate further to confirm the finding. This will usually mean expanding the sample size to determine whether the identified issues apply beyond the original sample. It is not necessary that there be many issues identified at a provider organization for a Corrective Action Plan to be requested. It is not necessary that a Quality Assurance Review be in progress for a Quality Improvement Specialist to submit a Quality Assurance Observation Sheet that requires a Corrective Action Plan.

Data from Montana's Comprehensive Evaluation Process for Family Education and Support Services Tool.

The following data is from the monitoring of the seven regional Part C agencies for the FFY 2004:

- Thirty-five out of thirty-five (100%) files reviewed documented that agencies provided each family with a copy of its internal complaint procedure an/or procedure to appeal agency decisions. (FY 2002-2003, 100%, 2003-2004 100%)
- Thirty-five out of thirty-five (100%) files reviewed documented that families are informed of specific complaint and appeal processes for issues of eligibility, screening, and IFSP's. (FY 2002-2003, 100%, 2003-2004, 100%)
- Thirty-five out of thirty-five (100%) files reviewed. (FY 2002-2003, 100%, 2003-2004, 100%)
- Thirty-five out of thirty-five (100%) files documented that families received all information on services (including information on families' rights and safeguards) in their native language or typical means of communication, and in language that is jargon-free. (FY 2002-2003, 100%, 2003-2004, 100%)

DDP Procedures for Identifying Noncompliance through Complaints:

If DDP discovered any noncompliance issues during the following procedures for resolving complaints, due process or mediation, the issue would be addressed through the procedures outlined for Montana's Comprehensive Evaluation Process for Family Education and Support.

Lead Agency Procedures for Resolving Formal Complaints.

Montana did not have a Formal Complaint for the FFY 2004, but if a Formal Complaint would have occurred the following process would have occurred:

The DDP has adopted written procedures for receiving and resolving any written complaint that any public agency or private service provider who receives Part C funds is violating a requirement

of Part C. Parents are informed regarding these system complaint procedures at least annually at the time when IFSPs are routinely evaluated and rewritten. Those procedures include:

- A time limit of 60 days after the complaint is filed to carry out an independent on-site investigation, if the lead agency determines such an investigation is necessary, and to resolve the complaint. There may be an extension of this 60-day time limit only if exceptional circumstances exist with respect to a particular complaint.
- Give the complainant the opportunity to submit additional information, either orally or in writing, about allegations in the complaint.
- Review all relevant information and make an independent determination whether the public or private agency is violating a requirement of Part C of IDEA, a provision of the current State Plan for implementation of Part C of IDEA, or the regulations.
- Issue a written decision to the complainant that addresses each allegation in the complaint and contains:
 - Findings of fact and conclusions.
 - The reasons for the lead agency's final decision;
- Establish procedures for effective implementation of the lead agency's final decision, if needed, to include:
 - Technical assistance activities, which enable the public or private agency to comply with the lead agency's decision.
 - Negotiations between the parties to the complaint, which lead to mutually satisfactory resolution of the complaint.
 - Correction actions by the lead agency to ensure that the public or private agency achieves compliance with the decision.
- In resolving a complaint in which it finds a failure to provide appropriate services, a lead agency, pursuant to its general supervisory authority under Part C of IDEA, must address:
 - How to remedy the denial of those services, including, as appropriate, the awarding of monetary reimbursement or other corrective action appropriate to the needs of the child and the child's family.
 - Appropriate future provision of services for all infants and toddlers with disabilities and their families.

Montana's Impartial Procedures for Resolving Individual Child Complaints (Due Process).

Montana did not have any requests for impartial procedures (due process) in FFY 2004, but if there was a request for due process this is how it would proceed:

- The Department of Public Health and Human Services (DPHHS) Director is responsible for implementing written, impartial administrative procedures for complaints by any party concerning any matters.
- The Director of DPHHS will appoint an impartial person who is not an employee of any agency or other entity involved in the provision of early intervention services or the care of the child, to implement the part C impartial procedures for mediation and/or complaint resolution.
- DDP maintains a list of individuals who are qualified mediators and knowledgeable in laws and regulations relating to the provisions of Part C early intervention services, and who meet the qualifications.
- The state shall bear the cost of any mediation process, including the cost of meetings associated with the process of mediation.

- Any party aggrieved by the findings and decision regarding a Part C administrative complaint may appeal to a district court or may bring a civil action under 20 U.S.C 1480[1], section 680 [1] of the Act 9303.424).

Montana's Mediation Process in Early Intervention.

Montana did not have any requests for mediation in FFY 2004, but if there was a request for mediation this is how it would proceed:

- **Requesting Mediation**
 - A request for mediation may occur when parents and an agency reach an impasse after having made good faith efforts to resolve their differences. A request for mediation will most likely occur when parties are unable or unwilling to modify their positions without outside assistance. The parents or the agency or both may request mediation.
 - Mediation may occur on any issue considered appropriate for due process. Mediation may occur prior to or concurrent with a request for due process. However, it may not, in any way, interfere with the right to due process. Mediation is not a necessary step prior to due process. It is an optional alternative.
- **Agreeing to Mediate**
 - Unless both parties agree to mediation as a way to resolve their disagreement, a mediation session cannot be scheduled. Once the parents and the agency agree to mediation, the parent completes the Agreement to Mediate form. The agency completes the Mediation Agreement Request Form, and sends both documents to the Developmental Disabilities Program (DDP). The DDP makes arrangements for the mediation to occur.
- **Appointment of a Mediator**
 - The Developmental Disabilities Program will submit to the parties involved a list of three potential mediators with a brief biography for each. The parties will have five days to reach an agreement on one of the mediators. If the parties cannot come to an agreement, the DDP Director will appoint a mediator in the matter.
- **Mediation Timeline**
 - Once appointed, the mediator will contact the parties to set the date, time and location for the mediation session and determine who will participate in the session. At that time, the mediator will answer any questions about the process and may request additional information from the parties. The mediation date should be as soon as possible, but no later than 30 days from the date of the request for mediation.
- **Mediators**
 - Individuals selected to serve as mediators have successfully completed a training program specifically designed for mediators. The Developmental Disabilities Program maintains a list of qualified mediators.
 - Mediators are selected on the basis of these qualifications:
 - Neutrality, both real and perceived;
 - Knowledge of early intervention;
 - Knowledge of the process of mediation; and
 - Appropriate personal communication skills.

| FFY | Measurable and Rigorous Target |
|-----------------------------------|--|
| 2005 (2005-2006) | <p>100% noncompliance identified through general supervision system will be corrected as soon as possible but in no case later than one year from identification for each of the following:</p> <ul style="list-style-type: none"> A. Noncompliance related to monitoring priority arrears and indicators; B. Noncompliance related to areas not included in the above monitoring priority areas and indicators; and C. Noncompliance identified through other mechanisms (complaints, due process hearings, mediations, etc.). |
| 2006 (2006-2007) | <p>100% noncompliance identified through general supervision system will be corrected as soon as possible but in no case later than one year from identification for each of the following:</p> <ul style="list-style-type: none"> A. Noncompliance related to monitoring priority arrears and indicators; B. Noncompliance related to areas not included in the above monitoring priority areas and indicators; and C. Noncompliance identified through other mechanisms (complaints, due process hearings, mediations, etc.). |
| 2007 (2007-2008) | <p>100% noncompliance identified through general supervision system will be corrected as soon as possible but in no case later than one year from identification for each of the following:</p> <ul style="list-style-type: none"> A. Noncompliance related to monitoring priority arrears and indicators; B. Noncompliance related to areas not included in the above monitoring priority areas and indicators; and C. Noncompliance identified through other mechanisms (complaints, due process hearings, mediations, etc.). |
| 2008 (2008-2009) | <p>100% noncompliance identified through general supervision system will be corrected as soon as possible but in no case later than one year from identification for each of the following:</p> <ul style="list-style-type: none"> A. Noncompliance related to monitoring priority arrears and indicators; B. Noncompliance related to areas not included in the above monitoring priority areas and indicators; and C. Noncompliance identified through other mechanisms (complaints, due process |

| | |
|-----------------------------|--|
| | hearings, mediations, etc.). |
| 2009 (2009-2010) | <p>100% noncompliance identified through general supervision system will be corrected as soon as possible but in no case later than one year from identification for each of the following:</p> <ul style="list-style-type: none"> A. Noncompliance related to monitoring priority arrears and indicators; B. Noncompliance related to areas not included in the above monitoring priority areas and indicators; and C. Noncompliance identified through other mechanisms (complaints, due process hearings, mediations, etc.). |
| 2010 (2010-2011) | <p>100% noncompliance identified through general supervision system will be corrected as soon as possible but in no case later than one year from identification for each of the following:</p> <ul style="list-style-type: none"> A. Noncompliance related to monitoring priority arrears and indicators; B. Noncompliance related to areas not included in the above monitoring priority areas and indicators; and C. Noncompliance identified through other mechanisms (complaints, due process hearings, mediations, etc.). |

Improvement Activities/Timelines/Resources:

| Activities | Timelines | Resources |
|---|---|--|
| 1. Montana will continue to refine the tool, Montana's Comprehensive Evaluation Process for Family Education and Support Services, to enhance the State's data collection process for this indicator. | July 1, 2005 to June 30, 2006 | FSSAC, Providers Managers, Part C technical assistance and trainers, and the Part C Coordinator. |
| 2. Provide initial and annual training for the Quality Improvement Specialists. | (1) July 1, 2006- June 30, 2007 (2) July 1, 2007- June 30, 2008 (3) July 1, 2008- June 30, 2009 (4) July 1, 2009- June 30, 2010 (5) July 1, 2010- June 30, 2011 | FSSAC, Providers Managers, Part C technical assistance and trainers, and the Part C Coordinator. |

SPP Template – Part C (3)

Montana
State

| | | |
|---|---|---|
| 3. DDP will monitor programs for compliance with this indicator. When noncompliance is identified, Quality Improvement Specialists and Part C Coordinator will work with program to determine nature of noncompliance, develop and implement improvement Plan or Corrective Action. | (1)July 1, 2006- June 30,2007 (2)July 1, 2007- June 30,2008 (3)July 1, 2008- June 30,2009 (4)July 1, 2009- June 30,2010 (5)July 1, 2010- June 30,2011 | FSSAC, Providers Managers, Part C technical assistance and trainers, and the Part C Coordinator. |
| 4. To update the information that is located in our Central Directory handbook called FIRST STEPS, which is provided by Parents' Lets Unite for Kids (PLUK). | July 1, 2005 to June 30, 2006 | FSSAC, Providers Managers, Part C technical assistance and trainers, PLUK and the Part C Coordinator. |
| 5. Review, monitor and revise when necessary Montana's Part C systems Improvement Comprehensive Strategic Plan. | July 1, 2005 to June 30, 2008 | FSSAC, Providers Managers, Part C technical assistance and trainers, PLUK and the Part C Coordinator. |

Part C State Performance Plan (SPP) for 2005-2010

Overview of the State Performance Plan Development:

See Indicator 1 for a description of the State Performance Plan development.

(The following items are to be completed for each monitoring priority/indicator.)

Monitoring Priority: Effective General Supervision Part C / General Supervision

Indicator 10: Percent of signed written complaints with reports issued that were resolved within 60-day timeline or a timeline extended for exceptional circumstances with respect to a particular complaint.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:

Percent = (1.1(b) + 1.1(c)) divided by (1.1) times 100.

Overview of Issue/Description of System or Process:

The Developmental Disabilities Program (DDP-Montana's lead agency for Part C in the Department of Public Health and Human Services) has adopted complaint policies, procedures and a statewide system to ensure that the requirements for filing and resolving complaints within the timelines (60 days) meet the IDEA Part C statutes, rules and regulations. Further, the DDP has engaged in dissemination (e.g., through interagency agreements, documents) of these complaint policies and procedures to parents through the regional Part C agencies and other agencies and programs associated with Part C services. In addition, DDP monitoring ensures that regional Part C agencies inform their parents of complaint procedures.

Seven Regional Part C agencies:

- Each agency has an informal process in place to handle complaints from families.
- Each agency disseminates and explains complaint information to families during each IFSP development period.

Lead Agency Procedures for resolving Formal Complaints.

- The DDP has adopted written procedures for receiving and resolving any written complaint that any public agency or private service provider who receives Part C funds is violating a requirement of Part C. Parents are informed regarding these system complaint procedures at least annually at the time when IFSPs are routinely evaluated and rewritten. Those procedures include:
 - A time limit of 60 days after the complaint is filed to carry out an independent on-site investigation, if the lead agency determines such an investigation is necessary, and to resolve the complaint. There may be an extension of this 60-day time limit only if exceptional circumstances exist with respect to a particular complaint.
 - Give the complainant the opportunity to submit additional information, either orally or in writing, about allegations in the complaint.
 - Review all relevant information and make an independent determination whether the public or private agency is violating a requirement of Part C of IDEA, a provision of the current State Plan for implementation of Part C of IDEA, or the regulations.
 - Issue a written decision to the complainant that addresses each allegation in the complaint and contains:
 - Findings of fact and conclusions.
 - The reasons for the lead agency's final decision;
- Establish procedures for effective implementation of the lead agency's final decision, if needed, to include:
 - Technical assistance activities, which enable the public or private agency to comply with the lead agency's decision.
 - Negotiations between the parties to the complaint, which lead to mutually satisfactory resolution of the complaint.
 - Correction actions by the lead agency to ensure that the public or private agency achieves compliance with the decision.

SPP Template – Part C (3)

Montana

State

- In resolving a complaint in which it finds a failure to provide appropriate services, a lead agency, pursuant to its general supervisory authority under Part C of IDEA, must address:
 - How to remedy the denial of those services, including, as appropriate, the awarding of monetary reimbursement or other corrective action appropriate to the needs of the child and the child's family.
 - Appropriate future provision of services for all infants and toddlers with disabilities and their families.

Baseline Data for FFY 2004 (2004-2005):

For the FFY 2004 reporting period, Montana did not have any written complaints.

Discussion of Baseline Data:

Informal Complaints.

- Each of the seven regional Part C agencies documented formal or informal complaint that they received for the FY 2004-2005. All seven regional Part C agencies did not receive a formal complaint. They documented how each informal complaint was resolved, by whom, how many days did it took to resolve the issue, and if there was documentation that the family was informed about mediation and due process.

SPP Template – Part C (3)

Montana
State

| Agencies | Number of Informal Complaints | Who Handled the complaints | Days in which it got resolved | Reasons | Documentation |
|----------|-------------------------------|---------------------------------------|---|---|----------------|
| Agency 1 | 2 | Family Support Specialist Supervisor | 3 days | Complaint about FSS, Resolved by switching staff | Yes, for both |
| Agency 2 | 2 | Family Support Specialist | Less then 10 days | | Yes, for both |
| Agency 3 | 3 | Family Support Specialist Supervisor, | 1 day, 5 days 8 days | Change of FSS Complaint about validity of the child's assessment summary. FSS over stepping their job duties. | Yes |
| Agency 4 | 0 | NA | NA | NA | NA |
| Agency 5 | 0 | NA | NA | NA | NA |
| Agency 6 | 3 | Middle Management | Between 9 to 16 days | Change of FSSs | Yes, all three |
| Agency 7 | 2 | Family Support Specialist Supervisor | Within 7 days Unable to make contact | Change of FSS Family choose to leave services when FSS was going to change | Yes |

- At the State level there were two informal complaints for the FY 2004-2005 that were addressed by the Part C Coordinator. Both individuals were informed of complaint procedures and their rights for mediation and due process. Each family chose to settle the complaint at the agency level.

Data from Montana's Comprehensive Evaluation Process for Family Education and Support Services Tool.

The following data is from the monitoring of the seven regional Part C agencies for the FY 2004-2005:

- Thirty-five out of thirty-five (100%) files reviewed documented that agencies provided each family with a copy of its internal complaint procedure and/or procedure to appeal agency decisions. (FY 2002-2003, 100%, 2003-2004, 100%)
- Thirty-five out of thirty-five (100%) files reviewed documented that families are informed of specific complaint and appeal processes for issues of eligibility, screening, and IFSP's. (FY 2002-2003, 100%, 2003-2004, 100%)
- Thirty-five out of thirty-five (100%) files reviewed documented that parents have access to child and family records. (FY 2002-2003, 100%, FY 2003-2004, 100%)
- Thirty-five out of thirty-five (100%) files documented that families received all information on services (including information on families' rights and safeguards) in their native language or typical means of communication, and in language that is jargon-free. (FY 2002-2003, 100%, 2003-2004, 100%)

| FFY | Measurable and Rigorous Target |
|----------------------------|--|
| 2005 (2005-2006) | 100% of signed written complaints will be resolved within the 60-day timeline or a timeline extended for exceptional circumstances with respect to a particular complaint. |
| 2006 (2006-2007) | 100% of signed written complaints will be resolved within the 60-day timeline or a timeline extended for exceptional circumstances with respect to a particular complaint. |
| 2007 (2007-2008) | 100% of signed written complaints will be resolved within the 60-day timeline or a timeline extended for exceptional circumstances with respect to a particular complaint. |
| 2008 (2008-2009) | 100% of signed written complaints will be resolved within the 60-day timeline or a timeline extended for exceptional circumstances with respect to a particular complaint. |
| 2009 (2009-2010) | 100% of signed written complaints will be resolved within the 60-day timeline or a timeline extended for exceptional circumstances with respect to a particular complaint. |
| 2010 (2010-2011) | 100% of signed written complaints will be resolved within the 60-day timeline or a timeline extended for exceptional circumstances with respect to a particular complaint. |

Improvement Activities/Timelines/Resources:

SPP Template – Part C (3)

Montana
State

| Activities | Timelines | Resources |
|---|---|---|
| 1. Montana will continue to refine the tool, Montana's Comprehensive Evaluation Process for Family Education and Support Services, to enhance the State's data collection process for this indicator (biannually). | (1) July 1, 2006- June 30, 2008 (2) July 1, 2008- June 30, 2010 (3) July 1, 2010- June 30, 2011 | FSSAC, Providers Managers, Part C technical assistance and trainers, and the Part C Coordinator. |
| 2. Provide initial and annual training for the Quality Improvement Specialists. | (1) July 1, 2006- June 30, 2007 (2) July 1, 2007- June 30, 2008 (3) July 1, 2008- June 30, 2009 (4) July 1, 2009- June 30, 2010 (5) July 1, 2010- June 30, 2011 | FSSAC, regional Part C agency Directors and Managers, Part C technical assistance and trainers, and the Part C Coordinator. |
| 3. DDP will monitor programs for compliance with this indicator. When noncompliance is identified, Quality Improvement Specialists and Part C Coordinator will work with program to determine nature of noncompliance, develop and implement improvement Plan or Corrective Action. | July 1, 2005 to June 30, 2011 | FSSAC, regional Part C agency Directors and Managers, Part C technical assistance and trainers, and the Part C Coordinator. |
| 4. To update the information that is located in our Central Directory handbook called FIRST STEPS, which is provided by Parents' Lets Unite for Kids (PLUK). | July 1, 2005 to June 30, 2006 | FSSAC, Providers Managers, Part C technical assistance and trainers, PLUK and the Part C Coordinator. |
| 5. Review, monitor and revise when necessary Montana's Part C systems Improvement Comprehensive Strategic | July 1, 2005 to June 30, 2008 | FSSAC, Providers Managers, Part C technical assistance and trainers, PLUK and the Part C Coordinator. |

SPP Template – Part C (3)

Montana
State

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| Plan. | | |
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Part C State Performance Plan (SPP) for 2005-2010**Overview of the State Performance Plan Development:**

See Indicator 1 for a description of the State Performance Plan development.

(The following items are to be completed for each monitoring priority/indicator.)

Monitoring Priority: Effective General Supervision Part C / General Supervision

Indicator 11: Percent of fully adjudicated due process hearing requests that were fully adjudicated within the applicable timeline.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:

Percent = (3.2(a) + 3.2(b)) divided by (3.2) times 100.

Overview of Issue/Description of System or Process:

The Developmental Disabilities Program (DDP-Montana's lead agency for Part C in the Department of Public Health and Human Services) has adopted complaint policies, procedures and a statewide system to ensure that the requirements for filing and resolving complaints within the timelines (60 days) meet the IDEA Part C statutes, rules and regulations. Further, the DDP has engaged in dissemination (e.g., through interagency agreements, documents) of these complaint policies and procedures to parents through the regional Part C agencies and other agencies and programs associated with Part C services. In addition, DDP monitoring ensures that regional Part C agencies inform their parents of complaint procedures.

Lead Agency Impartial Procedures for Resolving Individual Child Complaints (Due Process).

Montana did not have any requests for impartial procedures (due process) in FFY 2004, but if there was a request for due process this is how it would proceed:

- The Department of Public Health and Human Services (DPHHS) Director is responsible for implementing written, impartial administrative procedures for complaints by any party concerning any matters.
- The Director of DPHHS will appoint an impartial person who is not an employee of any agency or other entity involved in the provision of early intervention services or the care of the child, to implement the part C impartial procedures for mediation and/or complaint resolution.
- DDP maintains a list of individuals who are qualified mediators and knowledgeable in laws and regulations relating to the provisions of Part C early intervention services, and who meet the qualifications.
- The state shall bear the cost of any mediation process, including the cost of meetings associated with the process of mediation.
- Any party aggrieved by the findings and decision regarding a Part C administrative complaint may appeal to a district court or may bring a civil action under 20 U.S.C 1480[1], section 680 [1] of the Act 9303.424).

Baseline Data for FFY 2004 (2004-2005):

For the FFY 2004-2005 reporting period Montana did not have any requests for due process.

Discussion of Baseline Data:

If there is a request for due process, DDP will follow the mediation policies and procedures listed above.

Data from Montana's Comprehensive Evaluation Process for Family Education and Support Services Tool.

The following data is from the monitoring of the seven regional Part C agencies for the FY 2004-2005:

- Thirty-five out of thirty-five (100%) files reviewed documented that agencies provided each family with a copy of its internal complaint procedure an/or procedure to appeal agency decisions. (FY 2002-2003, 100%, 2003-2004, 100%)
- Thirty-five out of thirty-five (100%) files reviewed documented that families are informed of specific complaint and appeal processes for issues of eligibility, screening, and IFSP's. (FY 2002-2003, 100%, 2003-2004, 100%)
- Thirty-five out of thirty-five (100%) files reviewed documented that parents have access to child and family records. (FY 2002-2003, 100%, FY 2003-2004, 100%)
- Thirty-five out of thirty-five (100%) files documented that families received all information on services (including information on families' rights and safeguards) in their native language or typical means of communication, and in language that is jargon-free. (FY 2002-2003, 100%, 2003-2004, 100%)

| FFY | Measurable and Rigorous Target |
|----------------------------|--|
| 2005 (2005-2006) | 100% of fully adjudicated due process hearing requests will be fully adjudicated within the applicable timeline. |
| 2006 (2006-2007) | 100% of fully adjudicated due process hearing requests will be fully adjudicated within the applicable timeline. |
| 2007 (2007-2008) | 100% of fully adjudicated due process hearing requests will be fully adjudicated within the applicable timeline. |
| 2008 (2008-2009) | 100% of fully adjudicated due process hearing requests will be fully adjudicated within the applicable timeline. |
| 2009 (2009-2010) | 100% of fully adjudicated due process hearing requests will be fully adjudicated within the applicable timeline. |
| 2010 (2010-2011) | 100% of fully adjudicated due process hearing requests will be fully adjudicated within |

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| | the applicable timeline. |
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Improvement Activities/Timelines/Resources:

| Activities | Timelines | Resources |
|---|---|---|
| 1. Montana will continue to refine the tool, Montana's Comprehensive Evaluation Process for Family Education and Support Services, to enhance the State's data collection process for this indicator. | July 1, 2005 to June 30, 2006 | FSSAC, Providers Managers, Part C technical assistance and trainers, and the Part C Coordinator. |
| 2. Provide initial and annual training for the Quality Improvement Specialists. | (1) July 1, 2006- June 30, 2007 (2) July 1, 2007- June 30, 2008 (3) July 1, 2008- June 30, 2009 (4) July 1, 2009- June 30, 2010 (5) July 1, 2010- June 30, 2011 | FSSAC, Providers Managers, Part C technical assistance and trainers, and the Part C Coordinator. |
| 3. DDP will monitor programs for compliance with this indicator. When noncompliance is identified, Quality Improvement Specialists and Part C Coordinator will work with program to determine nature of noncompliance, develop and implement improvement Plan or Corrective Action. | (1) July 1, 2006- June 30, 2007 (2) July 1, 2007- June 30, 2008 (3) July 1, 2008- June 30, 2009 (4) July 1, 2009- June 30, 2010 (5) July 1, 2010- June 30, 2011 | FSSAC, Providers Managers, Part C technical assistance and trainers, and the Part C Coordinator. |
| 4. To update the information that is located in our Central Directory handbook called FIRST STEPS, which is provided by Parents' Lets Unite for Kids (PLUK). | July 1, 2005 to June 30, 2006 | FSSAC, Providers Managers, Part C technical assistance and trainers, PLUK and the Part C Coordinator. |

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| 5. Review, monitor and revise when necessary Montana's Part C systems Improvement Comprehensive Strategic Plan. | July 1, 2005 to June 30, 2008 | FSSAC, Providers Managers, Part C technical assistance and trainers, PLUK and the Part C Coordinator. |
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Part C State Performance Plan (SPP) for 2005-2010**Overview of the State Performance Plan Development:**

See Indicator 1 for a description of the State Performance Plan development.

(The following items are to be completed for each monitoring priority/indicator.)

Monitoring Priority: Effective General Supervision Part C / General Supervision

Indicator 12: Percent of hearing requests that went to resolution sessions that were resolved through resolution session settlement agreements (applicable if Part B due process procedures are adopted).

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:

Percent = 3.1(a) divided by (3.1) times 100.

Overview of Issue/Description of System or Process:

Montana Part C program has not adopted Montana's Part B due process system. Thus, the issues of resolution session agreements does not apply.

Baseline Data for FFY 2004 (2004-2005):**Discussion of Baseline Data:**

| FFY | Measurable and Rigorous Target |
|----------------------------|---|
| 2005 (2005-2006) | This process is not applicable for Montana. |
| 2006 (2006-2007) | |
| 2007 (2007-2008) | |
| 2008 (2008-2009) | |
| 2009 (2009-2010) | |
| 2010 | |

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| (2010-2011) | |
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Improvement Activities/Timelines/Resources:

Part C State Performance Plan (SPP) for 2005-2010**Overview of the State Performance Plan Development:**

See Indicator 1 for a description of the State Performance Plan development.

(The following items are to be completed for each monitoring priority/indicator.)

Monitoring Priority: Effective General Supervision Part C / General Supervision

Indicator 13: Percent of mediations held that resulted in mediation agreements.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:

Percent = $(2.1(a)(i) + 2.1(b)(i))$ divided by (2.1) times 100.

Overview of Issue/Description of System or Process:

The Developmental Disabilities Program (DDP-Montana's lead agency for Part C in the Department of Public Health and Human Services) has adopted complaint policies, procedures and a statewide system to ensure that the requirements for filing and resolving complaints within the timelines (60 days) meet the IDEA Part C statutes, rules and regulations. Further, the DDP has engaged in dissemination (e.g., through interagency agreements, documents) of these complaint policies and procedures to parents through the regional Part C agencies and other agencies and programs associated with Part C services. In addition, DDP monitoring ensures that regional Part C agencies inform their parents of complaint procedures.

Montana's Mediation Process in Early Intervention.

Montana did not have any requests for mediation in FFY 2004, but if there was a request for mediation this is how it would proceed:

- Requesting Mediation
 - A request for mediation may occur when parents and an agency reach an impasse after having made good faith efforts to resolve their differences. A request for mediation will most likely occur when parties are unable or unwilling to modify their positions without outside assistance. The parents or the agency or both may request mediation.
 - Mediation may occur on any issue considered appropriate for due process. Mediation may occur prior to or concurrent with a request for due process. However, it may not, in any way, interfere with the right to due process. Mediation is not a necessary step prior to due process. It is an optional alternative.
- Agreeing to Mediate
 - Unless both parties agree to mediation as a way to resolve their disagreement, a mediation session cannot be scheduled. Once the parents and the agency agree to mediation, the parent completes the Agreement to Mediate form. The agency completes the Mediation Agreement Request Form, and sends both documents to the Developmental Disabilities Program (DDP). The DDP makes arrangements for the mediation to occur.

- Appointment of a Mediator
 - The Developmental Disabilities Program will submit to the parties involved a list of three potential mediators with a brief biography for each. The parties will have five days to reach an agreement on one of the mediators. If the parties cannot come to an agreement, the DDP Director will appoint a mediator in the matter.
- Mediation Timeline
 - Once appointed, the mediator will contact the parties to set the date, time and location for the mediation session and determine who will participate in the session. At that time, the mediator will answer any questions about the process and may request additional information from the parties. The mediation date should be as soon as possible, but no later than 30 days from the date of the request for mediation.
- Mediators
 - Individuals selected to serve as mediators have successfully completed a training program specifically designed for mediators. The Developmental Disabilities Program maintains a list of qualified mediators.
 - Mediators are selected on the basis of these qualifications:
 - Neutrality, both real and perceived;
 - Knowledge of early intervention;
 - Knowledge of the process of mediation; and
 - Appropriate personal communication skills.

Baseline Data for FFY 2004 (2004-2005):

For FFY 2004 Montana did not have any requests for mediation.

Discussion of Baseline Data:

If there is a request for mediation, DDP will follow the mediation policies and procedures listed above.

Data from Montana's Comprehensive Evaluation Process for Family Education and Support Services Tool.

The following data is from the monitoring of the seven regional Part C agencies for the FY 2004-2005:

- Thirty-five out of thirty-five (100%) files reviewed documented that agencies provided each family with a copy of its internal complaint procedure and/or procedure to appeal agency decisions. (FY 2002-2003, 100%, 2003-2004, 100%)
- Thirty-five out of thirty-five (100%) files reviewed documented that families are informed of specific complaint and appeal processes for issues of eligibility, screening, and IFSP's. (FY 2002-2003, 100%, 2003-2004, 100%)
- Thirty-five out of thirty-five (100%) files reviewed documented that parents have access to child and family records. (FY 2002-2003, 100%, FY 2003-2004, 100%)
- Thirty-five out of thirty-five (100%) files documented that families received all information on services (including information on families' rights and safeguards) in their native language or typical means of communication, and in language that is jargon-free. (FY 2002-2003, 100%, 2003-2004, 100%)

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| FFY | Measurable and Rigorous Target |
|----------------------------|--|
| 2005 (2005-2006) | 100% of mediations held will resulted in mediation agreements. |
| 2006 (2006-2007) | 100% of mediations held will resulted in mediation agreements. |
| 2007 (2007-2008) | 100% of mediations held will resulted in mediation agreements. |
| 2008 (2008-2009) | 100% of mediations held will resulted in mediation agreements. |
| 2009 (2009-2010) | 100% of mediations held will resulted in mediation agreements. |
| 2010 (2010-2011) | 100% of mediations held will resulted in mediation agreements. |

Improvement Activities/Timelines/Resources:

| Activities | Timelines | Resources |
|---|---|--|
| 1. Montana will continue to refine the tool, Montana's Comprehensive Evaluation Process for Family Education and Support Services, to enhance the State's data collection process for this indicator. | July 1, 2005 to June 30, 2006 | FSSAC, Providers Managers, Part C technical assistance and trainers, and the Part C Coordinator. |
| 2. Provide initial and annual training for the Quality Improvement Specialists. | (1)July 1, 2006- June 30,2007 (2)July 1, 2007- June 30,2008 (3)July 1, 2008- June 30,2009 (4)July 1, 2009- June 30,2010 (5)July 1, 2010- June 30,2011 | FSSAC, Providers Managers, Part C technical assistance and trainers, and the Part C Coordinator. |
| 3. DDP will monitor | (1)July 1, 2006- June 30,2007 | FSSAC, Providers Managers, |

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| programs for compliance with this indicator. When noncompliance is identified, Quality Improvement Specialists and Part C Coordinator will work with program to determine nature of noncompliance, develop and implement improvement Plan or Corrective Action. | <p>(2) July 1, 2007- June 30, 2008</p> <p>(3) July 1, 2008- June 30, 2009</p> <p>(4) July 1, 2009- June 30, 2010</p> <p>(5) July 1, 2010- June 30, 2011</p> | Part C technical assistance and trainers, and the Part C Coordinator. |
| 4. To update the information that is located in our Central Directory handbook called FIRST STEPS, which is provided by Parents' Lets Unite for Kids (PLUK). | July 1, 2005 to June 30, 2006 | FSSAC, Providers Managers, Part C technical assistance and trainers, PLUK and the Part C Coordinator. |
| 5. Review, monitor and revise when necessary Montana's Part C systems Improvement Comprehensive Strategic Plan. | July 1, 2005 to June 30, 2008 | FSSAC, Providers Managers, Part C technical assistance and trainers, PLUK and the Part C Coordinator. |

Part C State Performance Plan (SPP) for 2005-2010**Overview of the State Performance Plan Development:**

See Indicator 1 for a description of the State Performance Plan development.

(The following items are to be completed for each monitoring priority/indicator.)

Monitoring Priority: Effective General Supervision Part C / General Supervision

Indicator 14: State reported data (618 and State Performance Plan and Annual Performance Report) are timely and accurate.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:

State reported data, including 618 data, State performance plan, and annual performance reports, are:

- a. Submitted on or before due dates (February 1 for child count, including race and ethnicity, settings and November 1 for exiting, personnel, dispute resolution); and
- b. Accurate (describe mechanisms for ensuring accuracy).

Overview of Issue/Description of System or Process:

Montana has policies, procedures, guidelines and a monitoring process to ensure that Part C data for OSEP's 618 report, State Performance Plan (SPP) and Annual Performance Report (APR) are completed in accordance to the OSEP due dates and that the data is accurate. DDP Part C staff, FSSAC members, and technical assistance providers have participated in OSEP conferences, meetings and technical assistance conference calls that have specifically addressed the 618 reports, SPP and APR. DDP has a Part C General Supervision Enhancement Grant Project, which addresses early childhood and family outcomes, and the general supervision (monitoring) concerning those outcomes. In addition, the DDP has collaborated with The University of Montana Rural Institute's OSEP funded Dynamic Community Connections Project, one of six directed child find demonstration projects which included addressing data for Indicators 5 and 6 and other service provision indicators. Data for these reports are submitted from each regional Part C agency.

The DDP has developed guidelines and instructions for the collection of data for the 618, SPP and past APR reports. Further, the DDP has provided technical assistance and follow-up with each regional Part C agency to ensure that the agencies are collecting the data appropriately in accordance to the guidelines and instructions. DDP's Quality Improvement Specialist (QIS) in each regional DDP office completes annual monitoring (see Indicator 9 for a description of monitoring) to ensure compliance to Part C of the IDEA and review of various data reports (including 618, SPP and APR data reports). The Part C Coordinator compiles and analyzes all the data for these reports. If there are any concerns about the data reported by an agency for any report, the part C Coordinator will discuss the issue with the agency data manager for the specific data and agency management staff, to clarify that the data was collected and reported accurately, and if not, corrections that need to be made to resolve the problem.

While Montana is a large state geographically, an advantage in collecting and monitoring data is that there are only 600 – 700 infants and toddlers receiving Part C services at a point in time; there are only 5 DDP service regions, there are only 7 regional Part C agencies, and most DDP staff associated with Part C services and regional Part C agency directors have been in their jobs for many years. For instance, since the beginning of the then Part H Infant and Toddler Program, only one regional Part C agency director was not their agency's director or in a supervisory position in their

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agency. With fewer programs and staff involved in data collection and reporting for these reports, it is easier to provide training and technical assistance and monitor these activities in the regional Part C agencies. Further, even prior to Part C services, DDP required the routine reporting of detailed program data. Thus, there is a culture of collecting accurate data for the DDP's children and family services, including Part C.

Baseline Data for FFY 2004 (2004-2005):

100% compliance with reporting on time and accurately. State reported data for the 618 report and APR were both submitted:

- (a.) on time (618 report and APR), and
- (b.) with accurate data.

Discussion of Baseline Data:

According to the data collection, data analyses, and monitoring processes described in the Overview of Issue/Description of System or Process described above and the overall monitoring process described in that section for Indicator 9, the DDP developed the 618 and APR reports in a timely manner and submitted the reports to OSEP by the specific due dates. Further, the DDP reviewed and analyzed the data submitted to ensure accuracy of the data before completing the reports. Any data that might not appear accurate was addressed with the appropriate staff in the regional Part C agency and if needed, corrected.

| FFY | Measurable and Rigorous Target |
|----------------------------|---|
| 2005 (2005-2006) | 100% compliance for reporting on time and accurately. |
| 2006 (2006-2007) | 100% compliance for reporting on time and accurately. |
| 2007 (2007-2008) | 100% compliance for reporting on time and accurately. |
| 2008 (2008-2009) | 100% compliance for reporting on time and accurately. |
| 2009 (2009-2010) | 100% compliance for reporting on time and accurately. |
| 2010 (2010-2011) | 100% compliance for reporting on time and accurately. |

Improvement Activities/Timelines/Resources:

| Activities | Timelines | Resources |
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| 1. Annually review DDP data collection instructions, | (1) July 1, 2006- June 30, 2007 | Part C Coordinator, regional Part C agency managers and staff, |

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| procedures and monitoring activities for 618, SPP and APR reports to determine steps needed to improve data collection, analyses and verification efforts. | <p>(2) July 1, 2007- June 30, 2008</p> <p>(3) July 1, 2008- June 30, 2009</p> <p>(4) July 1, 2009- June 30, 2010</p> <p>(5) July 1, 2010- June 30, 2011</p> | and DDP staff. |
| 2. Annually provide a review, training, and/or technical assistance (for new DDP or regional Part C agency staff) concerning data requirements for the 618, SPP and APR reports | <p>(1) July 1, 2006- June 30, 2007</p> <p>(2) July 1, 2007- June 30, 2008</p> <p>(3) July 1, 2008- June 30, 2009</p> <p>(4) July 1, 2009- June 30, 2010</p> <p>(5) July 1, 2010- June 30, 2011</p> | Part C Coordinator, regional Part C agency managers and staff, and DDP staff. |
| 3. Annually participate in OSEP's training and technical assistance activities concerning the 618, SPP and APR reports, and make any necessary changes to DDP's policies procedures for data collection and monitoring. Incorporate changes in the training and technical assistance noted in Activity 2 above. | <p>(1) July 1, 2006- June 30, 2007</p> <p>(2) July 1, 2007- June 30, 2008</p> <p>(3) July 1, 2008- June 30, 2009</p> <p>(4) July 1, 2009- June 30, 2010</p> <p>(5) July 1, 2010- June 30, 2011</p> | Part C Coordinator, regional Part C agency managers and staff, and DDP staff. |
| 4. Prior to submission, annually review the 618, SPP and APR with the DDP, regional Part C agencies, and FSSAC to determine if changes, additions or corrections are needed and make such modifications and obtain FSSAC approval for the SPP/APR to be submitted. | <p>(1) November 1, 2006-February 1, 2007</p> <p>(2) November 1, 2007-February 1, 2008</p> <p>(3) November 1, 2008-February 1, 2009</p> <p>(4) November 1, 2009-February 1, 2010</p> <p>(5) November 1, 2010-February 1, 2011</p> | Part C Coordinator, FSSAC, regional Part C agency managers and staff, and DDP staff. |
| 5. Annually, the DDP accurately complete 618 and SPP/APR reports and submit according to OSEP due dates. | <p>(1) July 1, 2006- June 30, 2007</p> <p>(2) July 1, 2007- June 30, 2008</p> <p>(3) July 1, 2008- June 30, 2009</p> | Part C Coordinator, FSSAC, regional Part C agency managers and staff, and DDP staff. |

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| | (4)July 1, 2009- June 30,2010 | |
| | (5)July 1, 2010- June 30,2011 | |